

1 **A 3D Shear Velocity Model of the Crust and**
2 **Uppermost Mantle Beneath the United States from**
3 **Ambient Seismic Noise**

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Abstract.

Recent work in ambient noise surface wave tomography has shown that high resolution surface wave dispersion maps over large areas and across a broad frequency band can be obtained reliably in a wide variety of geographical settings. *Bensen et al.* [2007b] used 203 stations across North America to produce nearly dispersion curves for about 9,000 inter-station paths after measurement selection, creating Rayleigh and Love wave dispersion maps from 8 - 70 s period and 8 - 20 s period, respectively, on a $0.5^\circ \times 0.5^\circ$ grid. These maps produce Rayleigh and Love wave group and phase speed dispersion curves at each grid node which we invert here in a two-step procedure to determine a three-dimensional (3D) shear wave velocity model of the crust and uppermost mantle beneath much of contiguous US. The first step is a linearized inversion for the best fitting model. This is followed by a Monte Carlo inversion to estimate model uncertainty. In general, a simple model parameterization is sufficient to achieve acceptable data fit, but a Rayleigh/Love discrepancy at periods from 10 to 20 sec is observed in which a simple isotropic model systematically misfits Rayleigh and Love waves in some regions. Crustal features observed in the model include sedimentary basins such as the Anadarko, Green River, Williston Basins as well as the Great Valley and the Mississippi Embayment. The east-west velocity dichotomy between the stable eastern US and tectonically deformed western US is imaged and shown to be abrupt in the crust and uppermost mantle, but is not coincident in these regions; that is, the higher velocity material in the crust tends to lap over the higher

33 velocities in the uppermost mantle. Recovered crustal thickness is similar to
34 the Crust 2.0 model of *Bassin et al.* [2000]. The Rayleigh/Love discrepancy
35 is crustal in origin and is observed in a number of regions, particularly in ex-
36 tensional provinces such as the Basin and Range. It can be resolved by in-
37 troducing radial anisotropy into the lower or middle crust with $V_{sh} > V_{sv}$
38 by about 1%.

1. Introduction

39 Seismic tomographic investigations on both global and regional scales have been per-
40 formed in recent years covering all or part of the continental United States. However, the
41 resulting models have had either limited geographic extent or relatively low resolution.
42 Previous studies also have shown that surface wave ambient noise tomography (ANT)
43 helps to fill the gap between regional and continental/global scale tomographic models
44 (e.g., *Moschetti et al.* [2007], *Lin et al.* [2007], *Yang et al.* [2007a], *Yao et al.* [2006]).
45 Still, the full potential of the bandwidth and, therefore, the depth extent of ANT remains
46 untested. In addition, little work exists towards a 3D inversion of ANT results using
47 Rayleigh and Love wave group and phase speed measurements. Employing these tech-
48 niques, we show that ANT effectively diminishes the typical resolution/coverage trade-off
49 and provides higher resolution results across the continental US than achieved by previous
50 studies on this scale. Seismic data now emerging from Earthscope’s USArray provide the
51 potential for further improvement in resolution for which our model may serve as a useful
52 reference.

53 This study is an extension of work presented by *Bensen et al.* [2007a] and *Bensen et al.*
54 [2007b]. *Bensen et al.* [2007a] presented a technique for computing reliable empirical
55 Green’s functions (EGF) from long sequences of ambient noise. They also presented an
56 automated procedure to measure the dispersion of EGFs as well as selection criteria to
57 ensure that only high-quality signals are retained. Using these methods, *Bensen et al.*
58 [2007b] estimated maps of Rayleigh and Love wave group and phase speed across the study
59 region presented in Figure 1. Using 203 stations across North America (labeled as black

60 triangles in Figure 1) for up to two years of ambient noise data, they developed surface
61 wave dispersion maps across the study region on a $0.5^\circ \times 0.5^\circ$ grid. They constructed
62 dispersion maps from 8 - 70 s period for Rayleigh waves and 8 - 20 s period for Love
63 waves. These dispersion maps form the basis for the current study. Additionally, *Bensen*
64 *et al.* [2007b] presented evidence that adds further credibility to the ANT technique, as
65 well as empirical information about the nature of the distribution of ambient seismic noise.
66 Aspects of the work by *Bensen et al.* [2007a] and *Bensen et al.* [2007b] are summarized
67 here as appropriate.

68 Regional investigations of surface wave propagation and dispersion in the United States
69 date back over 30 years (e.g., *Lee and Solomon* [1978]). Tomographic studies using data
70 in the United States (e.g., *Alsina et al.* [1996], *van der Lee and Nolet* [1997], *Godey et al.*
71 [2003], *Li et al.* [2003], *Marone et al.* [2007]) created dispersion maps and models covering
72 our study area which possess resolution similar to global scale studies (e.g., *Trampert and*
73 *Woodhouse* [1996], *Ekström et al.* [1997], *Ritzwoller et al.* [2002]).

74 In addition, a large number of smaller-scale regional studies have been performed to
75 investigate the seismic structure of North America. Among these are tomographic studies
76 in regions such as the Rio Grande Rift (e.g., *Gao et al.* [2004]), Cascadia (e.g., *Ra-*
77 *machandran et al.* [2005]), California (e.g., *Thurber et al.* [2006]), the Rocky Mountains
78 (e.g., *Yuan and Dueker* [2005]) and the eastern US (e.g., *van der Lee* [2002]), just to
79 name a few recent studies among many others. Many refraction studies have provided
80 profiles across North America, including CD-ROM (e.g., *Karlstrom et al.* [2002]), Deep
81 Probe (e.g., *Snelson et al.* [1998]) and others. Receiver functions have provided valuable
82 constraints on crustal thickness and structure throughout much of the continent (e.g.,

83 *Crotwell and Owens* [2005]). However, compiling and integrating regional results into a
84 single high-resolution model with broad coverage is a difficult task considering the variety
85 of techniques and differences in resolution and information content among them.

86 ANT presents several advantages over previously used techniques. First, higher seis-
87 mic ray path density is achieved and these paths are contained entirely within the study
88 region, creating a more nearly optimal configuration for tomographic inversion. Second,
89 station locations are precisely known unlike earthquake locations. Third, new empirical
90 observations have clarified the phase content of ambient noise for phase velocity measure-
91 ments (*Lin et al.* [2007]), reducing ambiguity and facilitating high measurement precision
92 compared to earthquake observations. Fourth, *Bensen et al.* [2007b] computed multiple,
93 seasonally variable EGFs along each path in order to quantify measurement variability and
94 hence uncertainty, which has been impossible with previous studies. Fifth, the bandwidth
95 of ambient noise derived measurements (i.e., 6 - 100 s period) constrains the structure
96 both of the crust and uppermost mantle. In contrast, it is difficult across much of the US
97 to obtain high-quality earthquake based surface wave dispersion measurements below ~ 15
98 s period. Despite good lateral coverage, many previous surface wave studies have obtained
99 high-quality dispersion measurements predominantly at longer periods and, therefore, re-
100 ported velocity structure only in the mantle (e.g., *Shapiro and Ritzwoller* [2002], *van der*
101 *Lee and Frederiksen* [2005]). Similarly, body wave studies of similar geographic extent
102 provide only weak constraints on crustal structure (e.g., *Grand* [1994], *Grand* [2002]). Ac-
103 cordingly, *Bensen et al.* [2007b] reported an increase in lateral resolution by about a factor
104 of 5 (i.e., 200 km versus 1000 km) compared to previous earthquake based surface wave
105 investigations of similar spatial scale.

106 The 3D model derived from this work will be useful to improve earthquake locations in
107 some regions, aid receiver function studies, and provide a starting model for a wide variety
108 of investigations across the US. This may be especially important in the context of the
109 advancing USArray/Transportable Array experiment. Velocity models are also important
110 tools for guiding tectonic inferences. Even by compiling multiple models one falls short of
111 linking the unique tectonic provinces of North America into a coherent integrated model.
112 Furthermore, less seismically active regions of North America, such as the central plains
113 and the eastern United States, are harder to constrain seismically than the tectonically
114 active western US. In some areas, the model presented herein will be the highest resolution
115 model available.

116 The current study uses a two-step procedure to create a 1D velocity model at each point
117 on a $0.5^\circ \times 0.5^\circ$ grid across the US based on the dispersion maps of *Bensen et al.* [2007b].
118 The first step is a linearized inversion for an isotropic shear velocity profile from the set of
119 dispersion curves at each grid point. The inversion is inherently non-unique and a variety
120 of models of varying levels of complexity can be created that fit the data within the data
121 uncertainty. In the second step of the inversion, in order to quantify the level with which
122 we can trust the results of the inversion, we perform a Monte-Carlo resampling of model
123 space near to the best fitting model derived from the linearized inversion, to develop an
124 ensemble of models at each grid point that fit the data acceptably. From this we quantify
125 the model uncertainty and choose a “favored model” near the center of the distribution
126 to represent the ensemble. The final model is, therefore, a 3D volume of isotropic shear
127 wave velocity and uncertainty at each point in the area of good resolution outlined with

128 the black contour in Figure 2. The vertical extent of the model is from the surface to
129 about 150 km depth.

2. Data

130 The data used in this study are the Rayleigh and Love wave group and phase speed
131 dispersion maps from *Bensen et al.* [2007b]. These maps are based on Rayleigh and
132 Love wave group and phase speed dispersion measurements obtained from EGFs com-
133 puted along paths between the stations shown in Figure 1. Dispersion measurements are
134 made on EGFs created by cross-correlating long ambient noise time series using the data
135 processing and measurement techniques described in detail by *Bensen et al.* [2007a] and
136 *Lin et al.* [2007]. Nearly 20,000 paths are used for this experiment and up to 13 unique
137 measurements from different temporal subsets of the two-year time series along each path
138 are computed for each wave type. An automated Frequency Time Analysis (FTAN) is
139 necessary to measure the dispersion of these Rayleigh and Love wave signals. The seminal
140 description of the FTAN procedure can be found in *Levshin et al.* [1972] and details of
141 our automated procedure are outlined by *Bensen et al.* [2007a].

142 *Bensen et al.* [2007b] developed acceptance criteria to ensure that only EGFs of high
143 quality are retained. In short, starting with nearly 20,000 paths across the United States
144 and Canada, a maximum of 8,932 paths remained after rejection. The rejection procedure
145 consists of three parts. The first is a minimum signal-to-noise ratio (SNR) criterion. Sec-
146 ondly, EGFs for different 6-month time intervals of ambient noise are computed, yielding
147 a set of temporally variable EGFs for each path. Only observations with little variabil-
148 ity in the repeated dispersion measurements are retained. Finally, data with large time
149 residuals after an initial overly smooth tomographic inversion are rejected. *Bensen et al.*

150 [2007b] inverted the selected dispersion measurements using the tomographic method de-
151 scribed in detail by *Barmin et al.* [2001] (an abbreviated introduction is presented by
152 *Bensen et al.* [2007b]) to generate group and phase speed tomography maps for Rayleigh
153 waves between 8 and 70 s period and between 8 and 20 s for Love waves. Low signal
154 quality for Love waves at longer periods causes the narrower bandwidth and apparently
155 results from higher local noise on horizontal components. Selected examples of these
156 maps and discussion of their quality are presented by *Bensen et al.* [2007b]. Additionally,
157 selected Rayleigh and Love wave group and phase speed dispersion maps can be found
158 at http://ciei.colorado.edu/~gbensen/dispersion_maps.html. The resulting bandwidth
159 presents sensitivity to shear velocity from the surface into the upper mantle, as seen in
160 Figure 3. Our study has better shallow depth sensitivity than previous studies of similar
161 geographic scale due to the shorter period measurements that derive from ambient noise.

162 Starting with the set of Rayleigh and Love wave group and phase speed dispersion maps
163 at different periods, dispersion curves are constructed at each point on the $0.5^\circ \times 0.5^\circ$ grid
164 across the US. This process is similar to many previous studies such as *Ritzwoller and*
165 *Levshin* [1998], *Villaseñor et al.* [2001], *Shapiro and Ritzwoller* [2002], *Weeraratne et al.*
166 [2003], and others. For all periods, at each geographic point, it is important to assign an
167 uncertainty value within which the modeled dispersion curve should lie. *Shapiro and Ritz-*
168 *woller* [2002] assigned uncertainty at each point as the RMS tomography misfit weighted
169 by resolution, which was effective for their global scale work. Given that crustal anoma-
170 lies are often greater in magnitude than mantle anomalies, we favor a different approach.
171 Changing the regularization of the tomographic inversion can affect the exact location,
172 extent and amplitude of velocity anomalies appreciably. These changes in the recovered

173 anomalies, due to subjective decisions, are a source of ambiguity in the tomographic re-
174 sults. To address this, we create a set of reasonable dispersion maps for each period and
175 wave type by using a range of regularization parameters. The minimum and maximum
176 velocity at each point for each period define an uncertainty window for that wave type.
177 We find that regions of greatest variability occur near significant velocity anomalies and
178 near the edges of the study area. We set a minimum uncertainty value for Rayleigh wave
179 group and phase speed at 20 and 30 m/s, respectively. Love wave phase speed minimum
180 uncertainty is set at 30 m/s. We do not use Love wave group speed dispersion curves in
181 this study because of lower confidence in their robustness. Finally, we weight the uncer-
182 tainty values by the estimated resolution. The weighting factor is unity for grid points
183 with resolution of 400 km or better. The uncertainty at grid points with worse resolu-
184 tion is increased to a maximum value of 100 m/s. For reference, the 500 km resolution
185 contour for the 16 s Rayleigh wave phase speed map is shown in Figure 2; resolution of
186 other maps is generally no better than this. The mean uncertainty over all periods for
187 the measurements used in this study is shown in Figure 4. Rayleigh wave uncertainty
188 increases near the extremes of the period band. By comparison, the uncertainty values
189 we used are smaller than RMS tomography misfit values from *Bensen et al.* [2007b] at all
190 periods for all wave types. The uncertainties change across the US from 20 - 100 m/s for
191 Rayleigh phase velocity maps and from 30 - 100 m/s for Rayleigh group and Love phase
192 velocity maps.

3. Methods

193 Two commonly used methods exist for estimating shear wave velocity structure from
194 surface wave dispersion measurements. The first is linearized waveform fitting as de-

195 scribed by *Snieder* [1988], *Nolet* [1990] and others. This technique has been used in many
196 geographical settings with earthquake surface wave signals, including the US (*van der Lee*
197 *and Nolet* [1997]). The second method, which we adopt, is a two-stage procedure in which
198 period specific 2D tomographic maps created from the dispersion measurements first are
199 used to produce dispersion curves at each geographic grid point. The dispersion curves
200 are then inverted for 1D V_s structure at all grid points and the 1D models are compiled
201 to obtain a 3D volume. This procedure has been described by *Shapiro and Ritzwoller*
202 [2002] and elsewhere.

203 Out specific approach to the second stage of inversion divides into two further steps.
204 The first step is a linearized inversion of the dispersion curves for the 1D velocity struc-
205 ture at each point based on the method of *Yang and Forsyth* [2006]. However, the best
206 fitting model does not account for the non-uniqueness of the inverse problem; a variety
207 of acceptable models may be created that fit the data with the desired accuracy. In the
208 second step, for this reason, we perform a Monte-Carlo search of a corridor of model space
209 defined by the results of the linearized inversion. From this we define an ensemble of ve-
210 locity models that fit the data acceptably. In contrast, a Monte-Carlo search of a broader
211 model space, which is not constrained by the results of the linearized inversion, is much
212 slower. These two steps are outlined further below. The linearized inversion procedure
213 only uses Rayleigh and Love wave phase speed measurements while Rayleigh wave group
214 speed measurements are also included in the Monte-Carlo procedure.

3.1. Starting Models and Parameterization

215 Both the linearized inversion and the Monte-Carlo resampling require a starting model.
216 Previous work used AK135 (*Kennett et al.* [1995]) as a starting model for all points

(e.g., *Weeraratne et al.* [2003]; *Yang and Forsyth* [2006]). For the linearized inversion, we observe faster and more stable convergence by using unique starting models at each geographic point. For this purpose, we extract shear wave speed values from the 3D model of *Shapiro and Ritzwoller* [2002]. The procedure also requires values of P-wave speed (V_p) and density (ρ). We use the average continental V_p/V_s ratios of 1.735 in the crust and 1.756 in the mantle from *Chulick and Mooney* [2002] who found little deviation from these values across the US. Furthermore, surface waves are less sensitive to V_p than V_s except in the uppermost crust. Density (ρ) is assigned similarly using a ρ/V_s ratio of 0.81 as described by *Christensen and Mooney* [1995]. Following previous work (i.e., *Weeraratne et al.* [2003]; *Yang and Forsyth* [2006]), we parameterize the models with 18 layers. Three crustal layers are used where the top layer thickness is set at the greater of 2 km or the sediment thickness from the model of *Laske and Masters* [1997]. The depth to the Moho was extracted from *Bassin et al.* [2000]. These two inputs define a thin upper crustal layer and a thick middle to lower crustal layer. The lower crustal layer was separated into two layers of equal thickness defining the middle and lower crust. The 15 layers in the mantle are between 20 and 50 km thick and extend to 410 km depth. An illustration of the parameterization is shown in Figure 5a. In the linearized inversion, the velocities of all layers are allowed to change although regularization is applied to ensure smoothness, as discussed in Section 3.2 below. V_p/V_s and ρ/V_s are maintained at the values stated above. Finally, only the thicknesses of the lower crust and uppermost mantle are permitted to change. However, if poor data fit is observed, we perturb the upper and middle crustal layer thicknesses (while maintaining the initial crustal thickness) and the inversion is rerun.

240 For Monte-Carlo sampling we use the result of the linearized inversion as a starting
241 model. However, we also impose an explicit requirement of monotonically increasing
242 crustal velocity with depth. Within our study area, *Wilson et al.* [2003] and *Ozalay-*
243 *bey et al.* [1997] found evidence for a low-velocity zone (LVZ) in the crust from localized
244 magma bodies and regional partial melt, respectively. Using receiver functions and surface
245 wave dispersion to constrain the crust, *Ozalaybey et al.* [1997] allowed ~ 20 crustal layers.
246 At a variety of locations, their crustal LVZ was often 5 km or less in thickness. These
247 crustal LVZs and other similar features documented in the literature are of insufficient
248 vertical and/or lateral extent for us to image reliably. Furthermore, a model parameteri-
249 zation using isotropic crustal velocities still produces fairly good data fit in most cases. In
250 contrast, *Ozalaybey et al.* [1997] find evidence for an upper mantle LVZ in northwestern
251 Nevada, which is permitted in our mantle parameterization. In the mantle, Monte-Carlo
252 sampling of 15 layers, as used in the linearized inversion, is costly and would potentially
253 create unrealistic models or require the additional complexity of a smoothing regulariza-
254 tion. For speed and smoothness, we parameterize the mantle with five B-splines. An
255 illustration of this parameterization of the model is shown in Figure 5b.

256 From the linearized inversion described above, we obtain smooth, simple 1D velocity
257 profiles at all grid points in the study area which typically fit the data remarkably well.
258 For the Monte-Carlo sampling we define the allowed range of models based on this best
259 fitting result. First, we impose a constraint on the permitted excursions from the initial
260 velocity values. The velocity must be within $\pm 20\%$ of the initial model in the upper
261 crust and $\pm 10\%$ in the lower crust and mantle. We choose this range rather than a
262 specific velocity window (e.g., ± 0.5 km/s) because of the potential for unrealistically low

263 values in the crust. By comparison, our allowed corridor is wider than that of *Shapiro*
 264 *and Ritzwoller* [2002]. Again, we maintain the V_p/V_s and V_s/ρ values stated above.
 265 However, the thicknesses of the crustal layers can now vary while the sum of crustal layers
 266 must be within ± 5 km from the Crust 2.0 model of *Bassin et al.* [2000].

267 Complexities probably exist within the crust and upper mantle that may not be well
 268 represented by our simple parameterization. However, if data fit is reasonable, we cannot
 269 empirically justify a more complicated model without inclusion of independent information
 270 such as receiver functions. The non-uniform coverage of receiver functions would make
 271 this particular exercise difficult on our scale at this time.

3.2. Linearized Inversion

272 The linearized inversion process uses the starting model described in section 3.1 to create
 273 predicted dispersion curves. Perturbing the input model provides misfit information and
 274 iterating converges upon the best-fitting solution. The linearized inversion process follows
 275 the work of *Li et al.* [2003], *Weeraratne et al.* [2003], *Forsyth and Li* [2005], *Yang and*
 276 *Forsyth* [2006] and others. In this case, the forward code used to compute dispersion
 277 curves from an input model is based on *Saito* [1988].

278 The technique to find the best fitting velocity model is outlined by *Weeraratne et al.*
 279 [2003] and is based on the iterative least-squares approach of *Tarantola and Valette* [1982].
 280 *Li et al.* [2003] concisely summarize the approach, which we excerpt here. The solution is
 281 described by the equation:

$$282 \quad \Delta \mathbf{m} = (\mathbf{G}^T \mathbf{C}_{nn}^{-1} \mathbf{G} + \mathbf{C}_{mm}^{-1})^{-1} (\mathbf{G}^T \mathbf{C}_{nn}^{-1} \Delta \mathbf{d} - \mathbf{C}_{mm}^{-1} [\mathbf{m} - \mathbf{m}_0]) \quad (1)$$

283 where \mathbf{m} is the current model, \mathbf{m}_0 is the starting model at the outset of each iteration,
 284 and $\Delta\mathbf{m}$ is the change to the model. $\Delta\mathbf{d}$ is the difference between the observed and
 285 predicted data. \mathbf{G} is a sensitivity matrix relating changes in \mathbf{d} to changes in \mathbf{m} . \mathbf{C}_{mm}
 286 is the model covariance matrix where non-zero values (we use 0.1) are introduced into
 287 the off-diagonal terms in order to provide a degree of correlation between velocity values
 288 obtained for adjacent layers and ensure a reasonable model (i.e., a model without large
 289 velocity jumps or oscillations). \mathbf{C}_{nn} is the diagonal data covariance matrix where the
 290 diagonal elements are calculated from the standard errors of the phase velocities.

291 As a measure of data fit quality, we use reduced χ^2 (henceforth χ^2). Unique χ^2 values
 292 are computed for Rayleigh wave and Love wave phase speed; χ^2 is also computed for
 293 Rayleigh wave group speed in the Monte-Carlo resampling described below. χ^2 is defined
 294 as

$$295 \quad \chi^2 = \frac{1}{n} \sum_{i=1}^n \frac{(\tilde{d}_i - d_i)^2}{\delta_i^2} \quad (2)$$

296 where i is the index of the period of the measurement through all wave types used.
 297 Periods used are on a 2 second grid from 8 - 20 s period and every 5 seconds for 25
 298 - 70 s period. Therefore, n is 7 for Love waves and 17 for Rayleigh waves. Thus, in
 299 the linearized inversion, 24 measurements are used but in the Monte-Carlo inversion, 41
 300 measurements are applied because Rayleigh wave group speeds are utilized. \tilde{d} and d_i are
 301 the model predicted and measured wave speeds, respectively, and δ_i is the uncertainty
 302 of the measured velocity unique to each period, wave type, and location, as described in
 303 Section 2 above. χ^2 is a measure of how well the model prediction fits the data within
 304 estimated uncertainty values. A χ^2 value less than or equal to unity indicates a fit within
 305 the estimated uncertainty of the data. Generally, χ^2 values of 2 or less represent fairly

306 good data fit, although misfit systematics may still exist for χ^2 ranging from 1.5 to 2.
307 Higher values indicate inferior fit or underestimated data uncertainties.

308 An example of input data and model output from the linearized inversion is shown in
309 Figure 6 for a point in Illinois. For reference, the location of this point is plotted as a grey
310 circle in Figure 1. Dispersion observations and associated errors are plotted as error bars
311 in Figure 6a. The resulting best fitting model and related dispersion curves produced by
312 linearized inversion are shown as thin black lines in Figure 6. For comparison, the starting
313 model and the related dispersion curves are shown in Figure 6 as dotted grey lines.

314 Variability in data fit quality is present in the study area. Figure 7 shows two more
315 examples like Figure 6 but with higher resulting χ^2 values. Considering that the location
316 of data used in Figure 7c,d is in an area of particularly good resolution (southern Califor-
317 nia), the misfit most likely derives from improper model parameterization. In this case,
318 the short period under-prediction of Love wave speeds and over-prediction of Rayleigh
319 wave speeds may indicate the need for radial anisotropy in the crust. More discussion of
320 alternative parameterizations follows in Section 6.3. For reference, the approximate depth
321 sensitivities of Rayleigh and Love phase velocity at selected periods are shown in Figure
322 3. Examination of these sensitivity plots confirms that higher misfit (e.g., Figure 7a,c)
323 could be due to improper model parameterization at depths from 0 - 30 km.

3.3. Monte-Carlo Resampling and Uncertainty Estimation

324 To estimate uncertainties in geophysical inverse problems, model space sampling method
325 such as Monte-Carlo methods have been in use for over 40 years (*Keilis-Borok and*
326 *Yanovskaya* [1967]) and can provide reliable uncertainty estimates even when the *a pri-*
327 *ori* probability density of solutions is unknown (see *Mosegaard and Tarantola* [1995]).

328 Variations among Monte-Carlo methods are summarized by *Sambridge and Mosegaard*
329 [2002]. Methods to sample model space more effectively and/or more quickly are pre-
330 sented therein. One particular concern in our inverse problem is the tradeoff between
331 velocity values in the lower crust and uppermost mantle with crustal thickness. This is
332 considered a significant problem by *Marone and Romanowicz* [2007] and elsewhere and
333 provides part of the motivation for us to estimate model uncertainty. We quantify the
334 variation of acceptable models and use this variation as an indication of the robustness of
335 the resulting velocity model.

336 Our Monte-Carlo procedure is a two-step process that first creates models through
337 uniformly distributed random perturbations within the permitted corridor around the
338 model provided by linearized inversion, as described above. Secondly, a random walk
339 is used to refine the search for acceptable models. Rayleigh wave group and phase and
340 Love wave phase speed dispersion curves are generated for each model using the forward
341 code of *Herrmann* [1987]. If the predicted dispersion curves match the measured results
342 at an acceptable level, the model is retained. An acceptable model is defined as one
343 having a χ^2 value within 3 times the χ^2 value obtained from the linearized inversion.
344 For Rayleigh wave group velocity values, the χ^2 limit is 6 times the Rayleigh wave phase
345 velocity best fit value. Fairly conservative error estimates result from these choices. In
346 order to accelerate the process of obtaining a sufficient number of acceptable models, the
347 random walk procedure generates small perturbations to search adjacent model space for
348 additional acceptable models. After the random walk identifies an acceptable model, the
349 search re-initializes in the neighborhood of that model until we construct 100 acceptable

350 models. This number of models is arbitrary, but appears to be large enough to quantify
351 model uncertainty to form the basis for our inferences and is computationally tractable.

352 An example of the observed dispersion curves and the Monte-Carlo results are shown
353 in Figure 8 for points labeled as grey squares in Figure 1. The model ensembles in
354 these examples display the strongest variability at different depths while all have similar
355 variability in the resulting dispersion curves. Thus, the goodness-of-fit for a computed
356 dispersion curve is not necessarily a clear indicator of a robust model.

357 We select a “favored model” from the set of resulting velocity models. The best-fitting
358 model is very similar to that determined through linearized inversion and may not rep-
359 resent the ensemble of models very well. We favor the model closest to the mean of the
360 distribution, where greater depths are given lesser precedence. This captures the essence
361 of the ensemble and diminishes the occasional problems of lateral roughness found when
362 only the best fitting velocity models are considered. For illustration, the models identified
363 as most near the mean of the distribution are plotted in red in Figure 8a,c,e and are,
364 henceforth, referred to as the “favored models”. Further discussion of model variability
365 across the study area is reserved for Section 5 below.

4. Crustal Rayleigh/Love Wave Speed Discrepancy

366 The observation of relatively poor data fit in regions of good resolution deserves fur-
367 ther comment. The distributions of χ^2 values for Rayleigh and Love wave phase speeds
368 separately are shown in Figure 9. Because the inversion procedure attempts to minimize
369 data misfit for Rayleigh and Love waves simultaneously, the observation that areas of
370 high χ^2 for Rayleigh and Love waves approximately coincide is no surprise. The primary
371 cause for larger misfit may be attributed to three factors. The first factor is that the data

372 error estimates that we used could be too low in some regions where our confidence in
373 the input dispersion maps is overestimated. This may be the case along the edges of the
374 study region. Secondly, higher misfit may also occur when the results for different wave
375 types have incompatible resolutions causing velocity transitions to manifest themselves
376 in different locations for different wave types. The third factor is that our simple model
377 parameterization insufficiently describes the earth at a given point. Poorer agreement in
378 the data primarily at short periods suggests that the deficiency in parameterization would
379 be in the crust.

380 A three-layer crust and multi-layer mantle can usually fit either Rayleigh or Love wave
381 measurements satisfactorily. However, fitting data to both simultaneously is more difficult.
382 Figure 10 shows the difference in misfit to Rayleigh and Love waves phase velocities across
383 the US where, unlike χ^2 , the sign of the misfit is retained. We compute the dispersion
384 predicted by the “favored model” minus the observed dispersion at each geographical point
385 and divide this by the estimated data error. These values are averaged from 8 - 20 s period.
386 Green and orange colors signify that the model is faster than an observation at a point.
387 Blue colors indicate that the model is too slow to fit the observations. The widespread
388 result of Rayleigh and Love wave speeds being over- and under-predicted, respectively, is
389 apparent. The period band (8 - 20 s) indicates that the source of this discrepancy lies in the
390 crust. We, therefore, refer to this as the crustal Rayleigh/Love discrepancy to distinguish
391 it from the well known mantle Rayleigh/Love discrepancy caused by radial anisotropy due
392 to olivine alignment in the mantle (e.g., *Dziewonski and Anderson* [1981]). Section 6.3
393 below discusses possible causes of this observation and our preferred explanation.

5. Results

394 We construct a “favored model” from an ensemble of models that fit the data accept-
395 ably developed through Monte-Carlo inversion at each grid point. Combining these 1D
396 isotropic models, we obtain a 3D shear wave velocity model for the continental US with
397 lateral coverage bounded approximately by the black contour in Figure 2 and depth range
398 from the surface to 150 km. Here, we characterize the model by highlighting examples of
399 the types of features it contains. The names of features listed in Figure 2 are used in this
400 discussion.

401 Horizontal slices of isotropic shear wave speed at a selection of depths are shown in
402 Figure 11 including 4 km above (Figure 11c) and 4 km below (Figure 11d) the recovered
403 Moho. For plotting purposes, we smooth the model features and soften the abrupt con-
404 trasts between layers, by vertically averaging in 4 km increments in the crust and 10 km
405 in the mantle. Thus, a depth section at 10 km is the average from 8 - 12 km depth. No
406 smoothing is applied across the Moho.

407 The most striking features at 4 km depth (Figure 11a) are several large sedimentary
408 basins. The Mississippi Embayment and the Green River Basin appear most strongly.
409 Additionally, the Williston Basin and Anadarko Basin in Montana and Oklahoma, re-
410 spectively, clearly appear as slow velocity anomalies. Low velocities associated with the
411 sediments of the Great Valley in California abut slow crustal velocities of the Cenozoic
412 Pacific Northwest volcanic province farther north. A trend of generally faster velocities
413 in the eastern US compared with the western US is also observed.

414 At a depth of 10 km (Figure 11b), the most pronounced feature is again the strong signal
415 from the deep sediments of the Mississippi Embayment, which has been extended to this

416 depth by the vertical averaging. The crustal velocity “dichotomy” observed at 4 km depth
417 between the faster eastern US and slower western US continues to be clearly defined. The
418 crustal velocity dichotomy at this depth is located along the boundary between the Great
419 Plains and Central Lowlands and will be discussed in detail in Section 6.1 below.

420 Moving to the lower crust, Figure 11c at 4 km above the Moho shows a different location
421 of the crustal velocity dichotomy in the central US, shifted west to coincide with the
422 transition from the Great Plains to the Rocky Mountain Front. Also, the slow anomaly
423 in the Basin and Range can be attributed to high crustal temperatures in this extensional
424 province, as evidenced by high surface heat flow in the area (see e.g., *Blackwell et al.*
425 [1990]). The fast anomaly in the Great Lakes area may result from regionally thicker
426 crust; a slice at 4 km above the Moho is at a greater depth than the surrounding region.
427 However, slower speeds beneath the Appalachian Highlands to the east is within similarly
428 thick crust, implying that compositional differences between the Appalachian Highlands
429 and the continental shield are the more likely cause of this velocity anomaly. For reference,
430 the estimated crustal thickness is shown in Figure 12 and is discussed below.

431 At 4 km below the Moho (Figure 11d), the east-west velocity dichotomy is in a similar
432 but not identical location as in the lower crust. This will be discussed at greater length in
433 Section 6.1 below. East of this transition, more laterally homogeneous mantle velocities
434 appear. To the west, the prominent slow anomaly below the eastern Basin and Range
435 is striking and corroborates the suggested removal of mantle lithosphere from 10 Ma
436 to present (e.g., *Jones et al.* [1994]) and replacement with warmer, low velocity mantle
437 material. The slow anomaly in the Pacific Northwest can be attributed to the volatilized
438 mantle wedge residing above the subducting slab. At 80 km depth (Figure 11e), however,

439 the slow anomaly associated with the mantle wedge is no longer visible, suggesting that
440 this depth is below or within the subducting slab. Also, a slow mantle velocity anomaly
441 extends in the northwest to southeast direction, roughly following the outline of the entire
442 Basin and Range province. A similar feature was also observed in the tomographic models
443 of *Alsina et al.* [1996] and others and has been attributed to inflow of warm mantle material
444 during Cenozoic extension (e.g., *Wernicke et al.* [1988]). At 120 km depth in Figure 11f,
445 features are similar to 80 km depth, but anomalies are of lower amplitude.

446 The estimated crustal thickness is similar to the starting model of Crust 2.0 (*Bassin*
447 *et al.* [2000]) and is shown in Figure 12. On average, the crust is 1.6 km thinner than
448 Crust 2.0 and the RMS difference from Crust 2.0 across the study region is 1.5 km. These
449 differences are not strongly concentrated in any specific regions where the Monte-Carlo
450 ensemble suggests a significant offset from the Crust 2.0. The relation of crustal thickness
451 with topography and implications for topographic support or compensation are discussed
452 later in this section.

453 Vertical cross-sections through the velocity model on a 0.5° grid reveal more information
454 about structures within the study area. Figure 13 presents a series of vertical cross-sections
455 with locations indicated on the map in Figure 13a. A smoothed elevation profile is plotted
456 above each cross-section and a profile of the recovered crustal thickness is overplotted.
457 We use different color scales for crustal and mantle shear wave speeds. To diminish the
458 appearance of small lateral differences as vertical stripes, smoothing has been applied for
459 plotting purposes by averaging velocity values at each depth with those of neighboring
460 horizontal grid points in the crust and mantle. Crustal structure is smoothed by taking a
461 weighted average that includes the four nearest grid points in map view. Mantle structure

462 is similarly smoothed, but the weighted average includes the eight nearest grid points.
463 Vertical smoothing is also used as described above in the discussion of Figure 11. The
464 vertical exaggeration of the cross-sections is roughly 25:1 and the same horizontal scale is
465 used for N-S and E-W cross-sections.

466 As with the horizontal depth-sections presented in Figure 11, the most pronounced shal-
467 low crustal velocity anomalies are from sedimentary basins, although vertical smoothing
468 extends these features to greater depths. Profiles C-C' and F-F', for example, show that
469 the sediments of the Mississippi Embayment extends inland from the coast for hundreds
470 of kilometers. The most pronounced velocity contrasts result from the location of the
471 east-west velocity dichotomy in the crust and upper mantle, as will be discussed in more
472 detail in Section 6.1 below. Slow mantle velocities exist from the Rocky Mountains to the
473 west and are particularly low in the Basin and Range, which has been altered by exten-
474 sion. A discussion of the amplitude of observed mantle anomalies compared to previous
475 work is presented in Section 6.2 below.

476 The relation between surface topography, crustal thickness, and crust and mantle veloc-
477 ities allows qualitative conclusions to be drawn regarding the support for high topography
478 in the US. In general, surface topography within the US is not well correlated with crustal
479 thickness. For example, the north-south profiles in Figure 11 reveal very little relation
480 between the surface and Moho topography. Profile E-E', in particular, reveals crustal
481 thickness to be anti-correlated with topography and substantial Moho topography exists
482 under regions with almost no surface topography in Profiles F-F' and G-G'. In addition,
483 the Basin and Range province is characterized by high elevations, but the crust is relatively
484 thin. In all of these areas, however, high elevations with relatively thin crust are under-

485 lain by a slower and presumably less dense crust and mantle, indicative of a Pratt-type
486 of compensation or dynamical support for the topography. There are exceptions, how-
487 ever. Running from west to east along Profile B-B', the highest elevations coincide with
488 a mantle that is relatively slow and the crust is thick. Farther east in the Great Plains,
489 the thinning crust and decreasing elevation are coincident, suggesting an Airy-type of
490 compensation.

491 The standard deviation (σ) of the ensemble of Monte-Carlo models computed at each
492 grid point indicates the confidence in the velocity values through depth and across the
493 study region. Average values for σ versus depth are shown in Figure 14a. Except near the
494 surface, the average value of uncertainty is about 1.5% with this value increasing slightly
495 with depth. The RMS of velocities as a function of depth taken over the entire region of
496 study is also shown in Figure 14 to be about 3%, except near the surface. Thus, lateral
497 velocity anomalies are, on average, about twice the size of the uncertainties. The lower
498 anomaly values observed in the middle crust are likely because topography is not allowed
499 on the layer boundaries above and below to tradeoff with it, leading to a lower ensemble
500 standard deviation. The jump in RMS anomaly values near 40 km depth is caused by
501 laterally averaging both crust and mantle velocities. Figure 15 shows the amplitude and
502 distribution of σ across the study region at the depths presented in Figure 11. At 4 km
503 depth, σ is greatest near the edges of the study area, in part due to higher expected data
504 errors caused by lower resolution. Low σ values at 10 km depth (Figure 15b) through
505 much of the study region, as mentioned above, are due to the lack of boundaries above
506 and below this layer with which to trade-off. A parameterization that allows topography
507 or more crustal layers would generate greater middle crustal σ values. In the lower crust

508 (Figure 15c), σ is greater than in the mid-crust due to the tradeoff between wave speed
509 and crustal thickness; similar values are observed in the upper mantle (Figure 15d) due
510 to the same tradeoff. At 80 km (Figure 15e), σ is lower than at shallower depths and
511 is more uniform. The uniformity extends to 120 km depth (Figure 15f), although the
512 amplitude of σ increases slightly at this depth due to poorer sensitivity at greater depths
513 as indicated in Figure 3.

514 Figure 14b shows the average standard deviation in the dispersion curves produced by
515 the ensemble of acceptable models. Greater variability in model velocity values in the
516 uppermost crustal layer results in the higher standard deviation values at short periods
517 (i.e., < 15 s period). Rayleigh and Love wave phase speed variability is nearly constant
518 at 0.5% while the Rayleigh wave group speed variability is higher due to the higher χ^2
519 misfit threshold used in the Monte-Carlo resampling.

6. Discussion

520 A detailed interpretation of the estimated 3D model is beyond the scope of this paper.
521 We discuss three specific questions and emphasize using the model uncertainties to ad-
522 dress them. First, we constrain the location of the east/west velocity dichotomy in the
523 lower crust and uppermost mantle. Second, we compare the amplitude of the observed
524 mantle velocity anomalies to those of the global model of *Shapiro and Ritzwoller* [2002].
525 Finally, we present alternative model parameterizations in the attempt to resolve the
526 crustal Rayleigh/Love velocity discrepancy discussed in Section 4 above.

6.1. East-West Shear Velocity Dichotomy

527 The difference in crustal and uppermost mantle shear wave speeds between the faster
528 tectonically stable eastern US and the slower tectonically active western US is visible in the
529 horizontal and vertical cross-sections presented in Figures 11 and 13. This is also a feature
530 of older tomographic models. Here, we use the ensemble of models from the Monte-Carlo
531 inversion to estimate the location of and uncertainty in this velocity dichotomy.

532 First, Figure 16 presents histograms of velocity values along 40°N within the eastern
533 and western US for the lower crust and at 80 km depth. The values are taken from our
534 favored model from the Monte Carlo inversion. The eastern and western US are separated
535 approximately by a shear velocity of about 3.75 km/sec in the lower crust and 4.55 km/sec
536 in the uppermost mantle, but the exact choice of these values affects our conclusions only
537 slightly. Note first that the two distributions are nearly disjoint, indicating a strong
538 compositional and/or thermal difference between the tectonically active western US and
539 the stable eastern US. Secondly, the distribution in the eastern US is somewhat tighter,
540 particularly in the lower crust, demonstrating that the eastern US is somewhat more
541 homogeneous than the west.

542 To determine the location of the boundary of the east-west dichotomy, shear velocity
543 values for the lower crust and at 80 km depth are sorted and ranked by V_s value for the
544 ensemble of 100 acceptable models produced by the Monte Carlo inversion at each grid
545 point. In Figure 17, contours are plotted through the 20th and 80th maps (which can
546 be thought of as the 20th and 80th percentile values within the ensemble of accepted
547 models at each point) for values of 3.75 km/s in the lower crust and 4.55 km/s at 80
548 km depth as grey and blue lines, respectively. The separation between the tectonically
549 active western US and the stable eastern US lies approximately between these contours.

550 In the lower crust (Figure 17a), the western velocity contrast roughly follows the Rocky
551 Mountain Front from Wyoming to the south, but veers to the west north of central
552 Wyoming, crossing the Rocky Mountain front. This east-west contrast occurs abruptly.
553 In fact, examining the lower crustal velocity values across a variety of latitudes, a velocity
554 change of roughly 300 m/s typically occurs over less than 100 km laterally. Both the
555 20th and 80th percentile values are seen in the western US. In the eastern US, the 20th
556 percentile contour outlines the southeastern edge between the North American craton and
557 the Appalachian Highlands farther east. This velocity contour does not precisely follow
558 the western edge of the Appalachian highlands as plotted in Figure 2, which may be due
559 to the lower resolution in the eastern US. The Mid-Continental Rift (MCR), oriented in a
560 NNE-SSW direction in the central US, is also apparent. This feature is subtle in velocity
561 depth- and cross-sections but clearly appears here, with a location that agrees with the
562 configuration apparent in gravity maps.

563 At 80 km depth in the mantle, a similar set of contours outlines the eastern edge of the
564 slower western US. However, the location of these contours now aligns better with the
565 Rocky Mountain Front in the northern part of the study area and lies farther east in the
566 southern portions. The eastern contour provides an outline of the cratonic lithosphere.

567 In summary, the range of locations is sufficiently narrow to constrain the boundary
568 of the dichotomy in the lower crust and uppermost mantle and to observe that these
569 locations are similar but not identical. First, the fact that slower and presumably less
570 dense mantle material often extends well east of the Rocky Mountain Front suggests that
571 mantle compensation plays a role in the high topography of that region. Second, the di-
572 chotomy boundary in the lower crust lies west of the mantle boundary in the western US.

573 Assuming that this boundary marks the approximate edge of the craton, this means that
574 the cratonic crust extends out farther from the interior of the craton than the cratonic
575 mantle. This apparent overhanging of the cratonic crust may be caused by mantle litho-
576 spheric erosion due to small-scale convection. Third, the lower crustal boundary crosses
577 the Rocky Mountain front, probably reflective of crustal deformation beneath and west
578 of the northern Rocky Mountains.

6.2. Comparison with a Global Scale Model

579 A comparison with previous global tomography models identifies the effect of the im-
580 proved resolution of this study. Resolution has been improved both vertically and later-
581 ally. Improved vertical resolution results from the fact that ambient noise EGFs permit
582 much shorter period dispersion measurements. Improved lateral resolution results from
583 the inter-station dispersion measurements being made over a shorter distance than tele-
584 seismic observations. Figure 18a shows a cross section from the model of *Shapiro and*
585 *Ritzwoller* [2002] compared to our result (Figure 13, **B – B'**) at 40°N (see location in
586 Figure 13a). For reference, the difference is plotted in Figure 18b. The primary differ-
587 ences are in the mantle, but some of the crustal differences highlight the better crustal
588 resolution afforded by ambient noise tomography. For example, the slower velocities in
589 the upper crust beneath the Basin in Range seen in Figure 13 and the correlation of these
590 low velocities with high topography illustrates the higher resolution. More significantly,
591 the amplitudes of the mantle velocity anomalies in the global model are much larger than
592 those revealed by ambient noise. Considering the full range of models in our Monte-Carlo
593 ensemble we find that the lower range of values in the slow mantle anomaly between 245°
594 and 250°E in profile **B – B'** is roughly 4.2 km/s, which is higher than the 4.1 km/s seen

595 in Figure 18a. However, the fast end of the model ensemble for mantle velocities between
596 255° and 265°E is roughly 4.65 km/s which is less than the 4.75 km/s observed in the
597 same region by *Shapiro and Ritzwoller* [2002].

598 The model of *Shapiro and Ritzwoller* [2002] was created using diffraction tomography,
599 with broad finite frequency sensitivity kernels. *Ritzwoller et al.* [2002] assessed differences
600 in the results between ray theoretical and diffraction tomography and showed that finite
601 frequency kernels systematically produce higher anomaly amplitudes. We attribute the
602 differences observed between Figures 13 (**B – B'**) and Figure 18a to the effects of finite
603 frequency tomography at teleseismic distances overestimating anomaly amplitudes. This
604 provides evidence that the effective width of the sensitivity kernels for finite frequency
605 tomography should be much narrower than the full sensitivity kernel, and closer to ray
606 theory. It also highlights the general problem of estimating amplitudes accurately using
607 single-station teleseismic methods.

6.3. Resolving the Crustal Rayleigh/Love Wave Speed Discrepancy

608 Section 4 documents the systematic misfit of Rayleigh and Love wave phase velocities
609 below about 20 sec period by a simple isotropic parameterization of the crust with mono-
610 tonically increasing velocities with depth. Figure 10 presents a summary that shows that,
611 on average, Rayleigh wave speeds are overpredicted and Love wave speeds are underpre-
612 dicted by the isotropic model that aims to fit both simultaneously. Figure 19a shows
613 an example inversion for a point in northwest Utah (located with a grey star in Figure
614 1) illuminating how the estimated isotropic model (red line) predicts Love wave speeds
615 that are too slow and Rayleigh wave speeds that are too fast, particularly below about
616 15 sec period. Apparently, the model parameterization is inadequate to fit both types of

617 data simultaneously. The most likely cause of the problem is either the constraint that
618 imposes vertical monotonicity within the crust or the fact that only isotropic models are
619 constructed within the crust. We test both alternatives.

620 To determine whether crustal radial anisotropy can resolve the short period Rayleigh-
621 Love discrepancy, we allow only the middle crust to be radially anisotropic. The rest of
622 the model is fixed on the ensemble of isotropic profiles determined from the Monte-Carlo
623 inversion. We perform a grid search over small perturbations in V_s in the middle crust
624 (± 500 m/s) which attempts to fit the Rayleigh and Love wave phase velocity measure-
625 ments below 25 sec separately. In the inversion with the Rayleigh wave data alone we
626 recover a set of allowed V_{sv} values in the middle crust and with the Love wave data we get
627 a set of allowed V_{sh} values. The model is isotropic outside the middle crust. The result
628 for the best fitting radially anisotropic model for the point in northwest Utah is shown
629 in Figure 19a (blue line). The model itself with bifurcated V_{sh} and V_{sv} values is shown
630 in Figure 19b where blues denote V_{sv} and reds denote V_{sh} in the middle crust and the
631 model outside the middle crust is isotropic ($V_{sh} = V_{sv} = V_s$). In general, allowing radial
632 anisotropy in the middle crust can resolve the Rayleigh - Love discrepancy. We have also
633 performed the experiment allowing lower crustal radial anisotropy, but on average it does
634 not fit the data as well as middle crustal anisotropy alone. A combination of middle and
635 lower crustal radial anisotropy cannot be ruled out, however.

636 Although Love waves are predominantly sensitive to V_{sh} and Rayleigh waves to V_{sv} ,
637 there is weak sensitivity of each wave type to the alternate shear wave speed. Thus,
638 separately inverting Love and Rayleigh waves for V_{sh} and V_{sv} , respectively, is not fully
639 accurate. To test the approximation, we performed tests using the anisotropic “MINEOS”

640 code of *Masters et al.* [2007]. We created synthetic dispersion curves from models possess-
641 ing radial anisotropy in the crust and then inverted them to estimate the anisotropy using
642 the procedure outlined above. The approximation we apply recovers the initial model to
643 within about 5 m/s ($\sim 0.1\%$), which is an order of magnitude smaller than the amplitude
644 of the dispersion signals that are attempting to explain. The approximation that we use,
645 therefore, is more than accurate enough for the inferences drawn here.

646 We have also investigated whether breaking the monotonicity constraint can resolve
647 the Rayleigh - Love discrepancy. An example inversion in which a fourth crustal layer
648 has been introduced and the monotonicity constraint has been broken is shown with the
649 green lines in Figure 19. In this case a low velocity zone (LVZ) is introduced in the
650 lower crust. Breaking the monotonicity constraint and introducing another crustal layer
651 improves the fit to the data, but does not resolve the discrepancy as well as allowing
652 a single middle crustal anisotropic layer. We extended this test across all of Nevada
653 where radial anisotropy improves data fit and where crustal low velocity zones have been
654 previously documented. *Ozalaybey et al.* [1997] found thin crustal LVZs (~ 5 km thick) at
655 points in this area using a joint receiver function/surface wave technique. For the 93 grid
656 points tested, our procedure was not able to obtain the quality of fit observed using radial
657 anisotropy, as the misfit results in Table 1 show. The values contained within the table
658 are averaged over dispersion measurements from 10 to 20 sec period. We find that the χ^2
659 misfit with the radially anisotropic crust across Nevada is 1.06, yielding $\sim 42\%$ variance
660 reduction compared to the isotropic model with monotonically increasing shear wave
661 speeds. This means that the data are fit, on average, to within the measurement errors
662 and no misfit systematics are observed. The non-monotonic isotropic model gives only a

663 15% variance reduction, but a χ^2 value of 1.54, which indicates that the measurements are
664 misfit at the level of 1.5 measurement errors, on average, and misfit systematics continue
665 in evidence. Breaking the monotonicity constraint and adding a single crustal layer,
666 therefore, does not allow the data to be as fit well as by radial anisotropy. The introduction
667 of more crustal layers and the development of more complicated models cannot be formally
668 ruled out as an alternative, but the layerization will have to be extensive and complicated.

669 Thus, the introduction of radial anisotropy to the model parameterization is most ef-
670 fective at resolving the discrepancy and we believe radial anisotropy is the most likely
671 physical cause. The mapping of radial anisotropy in the upper mantle using fundamen-
672 tal mode Rayleigh and Love waves is a well established technique (e.g., *Tanimoto and*
673 *Anderson* [1984], *Montagner* [1991]). *Shapiro et al.* [2004] used shorter period Rayleigh
674 and Love wave observations to constrain radial anisotropy in the Tibetan crust, which
675 they attributed to crystal alignment caused by crustal flow. The widespread search for
676 crustal radial anisotropy has been hindered by a lack of short period dispersion observa-
677 tions (below 20 sec period) over extended regions, which ambient noise tomography now
678 provides.

679 Figure 20a presents the middle crustal radial anisotropy for the best fitting radially
680 anisotropic model, where green and orange colors indicate positive anisotropy ($V_{sh} >$
681 V_{sv}) and blue colors indicate the reverse. In this compilation, most of the US has radial
682 anisotropy above the level of $\pm 1\%$ and most areas have positive anisotropy. This does
683 not mean, however, that the anisotropy is required to fit the data. To determine this we
684 present in Figure 20b the model with the minimal anisotropy that fits the data acceptably.

685 In this result, the middle crust across much of the US is white (i.e., isotropic) and the
686 regions with negative anisotropy largely disappear.

687 There remain in Figure 20b several regions in which radial anisotropy in the middle
688 crust is required to fit the data. These regions tend to be of two main tectonic types:
689 sedimentary basins and extensional regions. The Anadarko (western Oklahoma), Ap-
690 palachian, and Green River (western Wyoming) basins are clearly outlined. In these
691 cases, layering of sediments may cause different V_{sh} and V_{sv} values in the uppermost
692 crust and some improvement in data fit is observed by allowing radial anisotropy in the
693 middle crust. These features may be artifacts, however, caused by poor parameteriza-
694 tion of the vertical V_s velocity gradient in the sediments or perhaps by the strong lateral
695 contrast across which the Love and Rayleigh waves sample differently (e.g., *Levshin and*
696 *Ratnikova* [1984]). Radial anisotropy at about 2 - 4 % is observed through much of the
697 Basin and Range, extending southeast toward the Rio Grande Rift. The observed radial
698 anisotropy may be due to crystalline reorganization effected during Cenozoic extension.
699 *Shapiro et al.* [2004] attributed observed radial anisotropy to the alignment of mica crys-
700 tals in the crust. The effects of other compositional organizations, such as aligned cracks
701 (e.g., *Crampin and Peacock* [2005]) or layering (e.g., *Crampin* [1970]), have also been
702 shown to cause seismic anisotropy. The multiplicity of sources of radial anisotropy must
703 be considered when interpreting these results.

704 Presentation of the 3D distribution of V_{sh} and V_{sv} and further investigation of alter-
705 native parameterizations and physical causes awaits more exhaustive studies based on the
706 USArray/Transportable Array.

7. Conclusions

707 We present a 3D shear velocity model of the crust and uppermost mantle beneath much
708 of the continental United States. The model is constrained by Rayleigh group and phase
709 velocity measurements from 8 to 70 s period and Love wave phase velocities from 8 to 20
710 s, both determined by ambient noise tomography (ANT) presented previously by *Bensen*
711 *et al.* [2007b]. We employ a two-step procedure to obtain shear wave speeds in the crust
712 and uppermost mantle from the surface to approximately 150 km depth. In the first step,
713 a linearized inversion is performed to find the best fitting model at each grid point on
714 a $0.5^\circ \times 0.5^\circ$ grid across the US. This is followed in the second step by a Monte-Carlo
715 inversion to estimate the ensemble of models that fit the data acceptably and, hence, to
716 bound model uncertainties.

717 The 3D model presented here displays higher resolution than earlier models produced
718 using teleseismic earthquake data on a similar scale. The amplitude of features in the
719 model, however, tends to be muted relative to global models such as that of *Shapiro*
720 *and Ritzwoller* [2002]. We believe this is due to the tendency for large-scale inversions
721 to over-estimate anomaly amplitudes perhaps indicating that the finite frequency kernels
722 used by *Shapiro and Ritzwoller* [2002] were too broad. At the largest scales, the outline
723 of the structural dichotomy between the tectonic west and the stable eastern part of the
724 US is clearly defined in both the crust and uppermost mantle and is observed to be very
725 abrupt. The location of the transition between the tectonic and stable regions is shown
726 to be similar in the lower crust and uppermost mantle, but not coincident. In the western
727 US, high velocities in the crust typically extend further to the west than in the mantle,
728 particularly north of Colorado. On smaller scales, numerous intriguing features within

729 the model are imaged, such as sedimentary basins in the shallow crust, the indication of
730 the mid-continental rift in the lower crust, and the generally variable correlation between
731 surface and Moho topography across much of the country. The estimated crustal thickness
732 is similar to model Crust 2.0 of *Bassin et al.* [2000] across most of the US.

733 The resulting isotropic 3D model systematically misfits Rayleigh and Love wave speeds
734 between 10 and 20 sec period in some regions, overpredicting Rayleigh wave speeds and un-
735 derpredicting Love wave speeds. We argue that this Rayleigh/Love discrepancy probably
736 results from radial anisotropy in the middle and/or lower crust. Crustal radial anisotropy
737 is required primarily within the Basin and Range and other extensional provinces, with
738 $V_{sh} > V_{sv}$ by about $\sim 1\%$ in these regions. A more exhaustive study of the Rayleigh/Love
739 discrepancy using alternative model parameterizations, higher resolution data (e.g., from
740 the USArray Transportable Array), and other kinds of data (e.g., receiver functions) is a
741 natural extension of this work.

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Table 1. χ^2 misfit for Rayleigh and Love waves averaged from 8 to 20 sec period across Nevada. Column 1 lists the method of crustal model parameterization, where “Monotonic Isotropic” denotes 3 crustal layers of monotonically increasing isotropic velocity with depth, “Nonmonotonic Isotropic” is also isotropic but with the monotonicity constraint removed for 4 crustal layers, and “Radial Anisotropy” is where radial anisotropy is allowed in the middle of the 3 crustal layers. Columns 2, 3, and 4 indicate χ^2 values for Love wave phase speed, Rayleigh wave phase speed, and the average of the two. The final column lists the variance reduction over the monotonic isotropic parameterization.

Param. type	χ^2 -Love	χ^2 -Rayleigh	χ^2 -avg.	Variance Reduction
Monotonic Isotropic	2.21	1.42	1.81	
Nonmonotonic Isotropic	1.45	1.63	1.54	15.2%
Radial Anisotropy	1.05	1.07	1.06	41.6%

Figure 1. Map of the study area showing stations used in the experiment as black triangles. Grey circles, squares, and a star are the locations for the examples in Figures 6, 7, 8, and 19.

Figure 2. Regions and geographic features. The black contour surrounds the area with lateral resolution better than 500 km for the 16 s Rayleigh wave phase velocity. Tectonic provinces are outlined in red and are labeled (bounded by rectangles) for reference. Features (from east to west) are as follows: Appalachian Highlands (ApH), Ouachita-Ozark Highlands (OH), Central Lowlands (CL), Great Plains (GP), Rocky Mountain Region (RM), Colorado Plateau (CP), Basin and Range (B&R), Columbia Plateau (CP), Sierra Nevada Mountains (SN), and Great Valley (GV). Other features are labeled (bounded by ellipses) as follows: Appalachian Basin (ApB), Michigan Basin (MB), Mississippi Embayment (ME), Mid-continental Rift (MCR), Anadarko Basin (AB), Williston Basin (WB), Rio Grande Rift (RGR), Green River Basin (GRB), Gulf of California (GC), and Pacific Northwest (PNW).

Figure 3. Sensitivity kernels for Rayleigh (labeled RC) and Love (labeled LC) wave phase speeds at a selection of periods.

Figure 4. Average measurement uncertainty for the Rayleigh wave group and phase speed and the Love wave phase speed maps. These are the average values within which we attempt to fit the data.

Figure 5. An illustration of the parameterization of the models used to create dispersion curves for (a) the linearized inversion and (b) the Monte-Carlo inversion. Fifteen layers are used in the mantle for the linearized inversion while five B-splines are used in the mantle for the Monte-Carlo inversion.

Figure 6. Example of the best fitting model and dispersion curves from the linearized inversion for a point in Illinois. Rayleigh and Love wave phase speed measurements and uncertainties are represented with error bars in (a). The input model in (b) and related dispersion curves in (a) are shown as grey dashed lines. The estimated models and dispersion curves are thin black lines in (b) and (a). The latitude, longitude and approximate location is listed in (b) and labeled as a grey circle in Figure 1. Velocity values at the center of each mantle layer are plotted.

Figure 7. Same as Figure 6 but for points in California and Montana, shown as grey circles in Figure 1. The χ^2 values indicated in (a) and (c) are toward the larger end in this study.

Figure 8. Examples of the input and output dispersion curves (error bars and grey lines, respectively, in (b), (d), and (f)) and the resulting ensemble of Monte-Carlo models ((a), (c), and (e)). The “favored model” is drawn in red. Locations of the examples presented here are shown as grey squares in Figure 1.

Figure 9. Rayleigh and Love wave phase velocity χ^2 misfit values for the best fitting isotropic model at each point as determined through linearized inversion.

Figure 10. Representation of the short-period discrepancy between Rayleigh and Love waves from the isotropic “favored models” that emerge from the Monte Carlo inversion. The difference of the model predicted and measured wave speed is divided by the data error at each point for each period. The results presented here are the average of values from 8 - 20 s period. Greens/oranges indicate that the model is too fast and blues that the model is too slow.

Figure 11. A selection of horizontal V_s depth sections through the isotropic “favored model” from Monte-Carlo inversion. Panels (c) and (d) show the model at 4 km above and below the recovered Moho, respectively.

Figure 12. The crustal thickness of the “favored model” from the Monte-Carlo inversion. Crustal thickness is required to be within 5 km of the values of *Bassin et al.* [2000].

Figure 13. A selection of V_s vertical cross sections through the “favored model” from Monte-Carlo inversion. The locations of the cross-sections are indicated in (a) and the horizontal scale of all the cross-sections is the same. The recovered Moho is plotted in all cross-sections as a black line. Different color scales are used in the crust and mantle, as shown at bottom.

Figure 14. (a) The average standard deviation of the ensemble of models from the Monte Carlo inversion is plotted versus depth as the solid line. The dashed line is the mean of the absolute value of the velocity anomalies at each depth taken across the entire study region. (b) The standard deviation of the dispersion curves predicted by the ensemble of models averaged across all geographic points.

Figure 15. Horizontal slices showing the estimated standard deviation of the ensemble of V_s models derived from the Monte-Carlo inversion at the depths presented in Figure 11. Panels (c) and (d) are results at 4 km above and below the Moho, respectively.

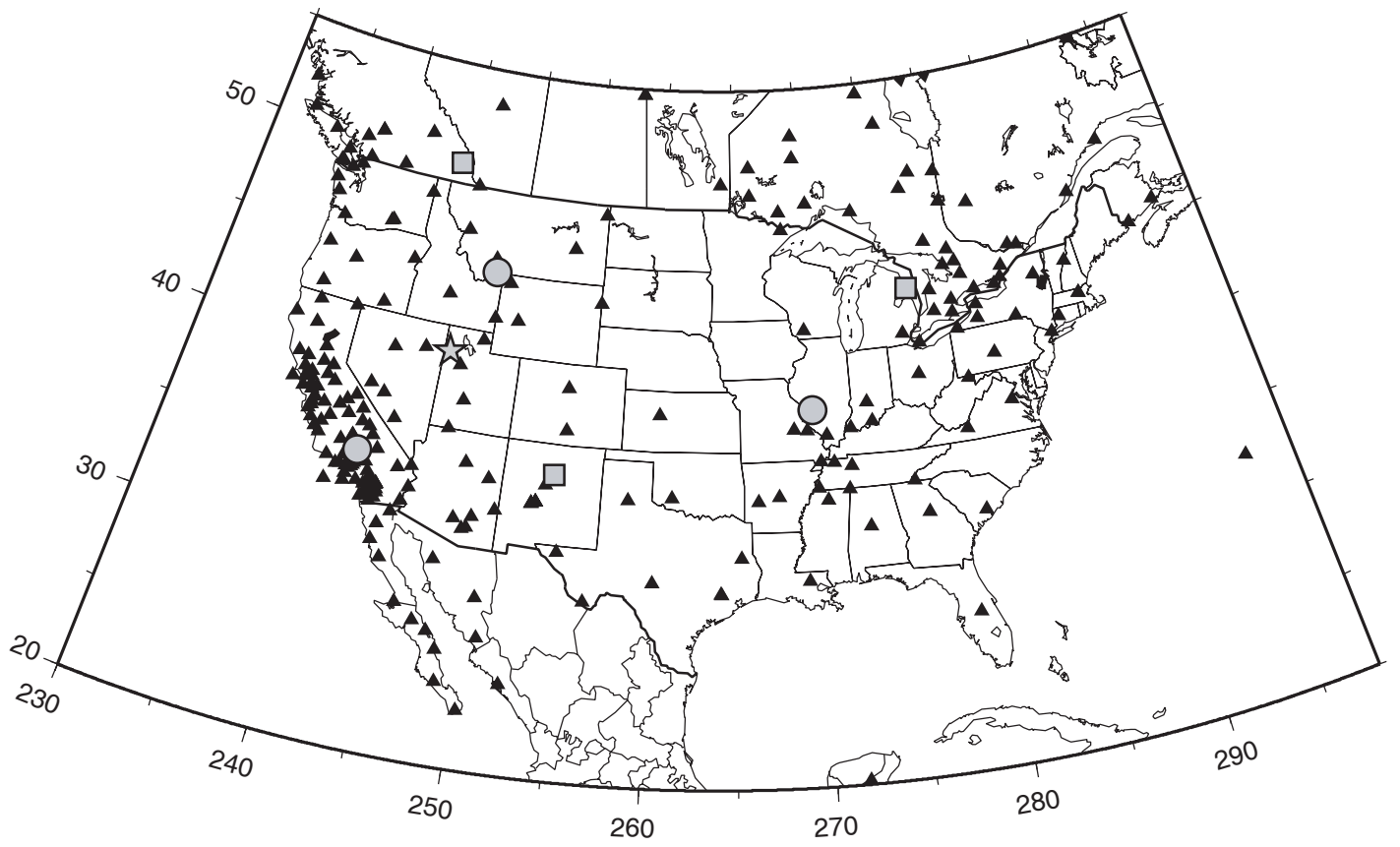
Figure 16. Histograms of velocity values taken from the 0.5° grid east and west of the approximate location of the boundary of the crustal dichotomy in the lower crust and at 80 km depth across the profile at 40°N . The values are from the “favored model” and the boundary is defined at 3.75 and 4.55 km/s in the lower crust and mantle, respectively.

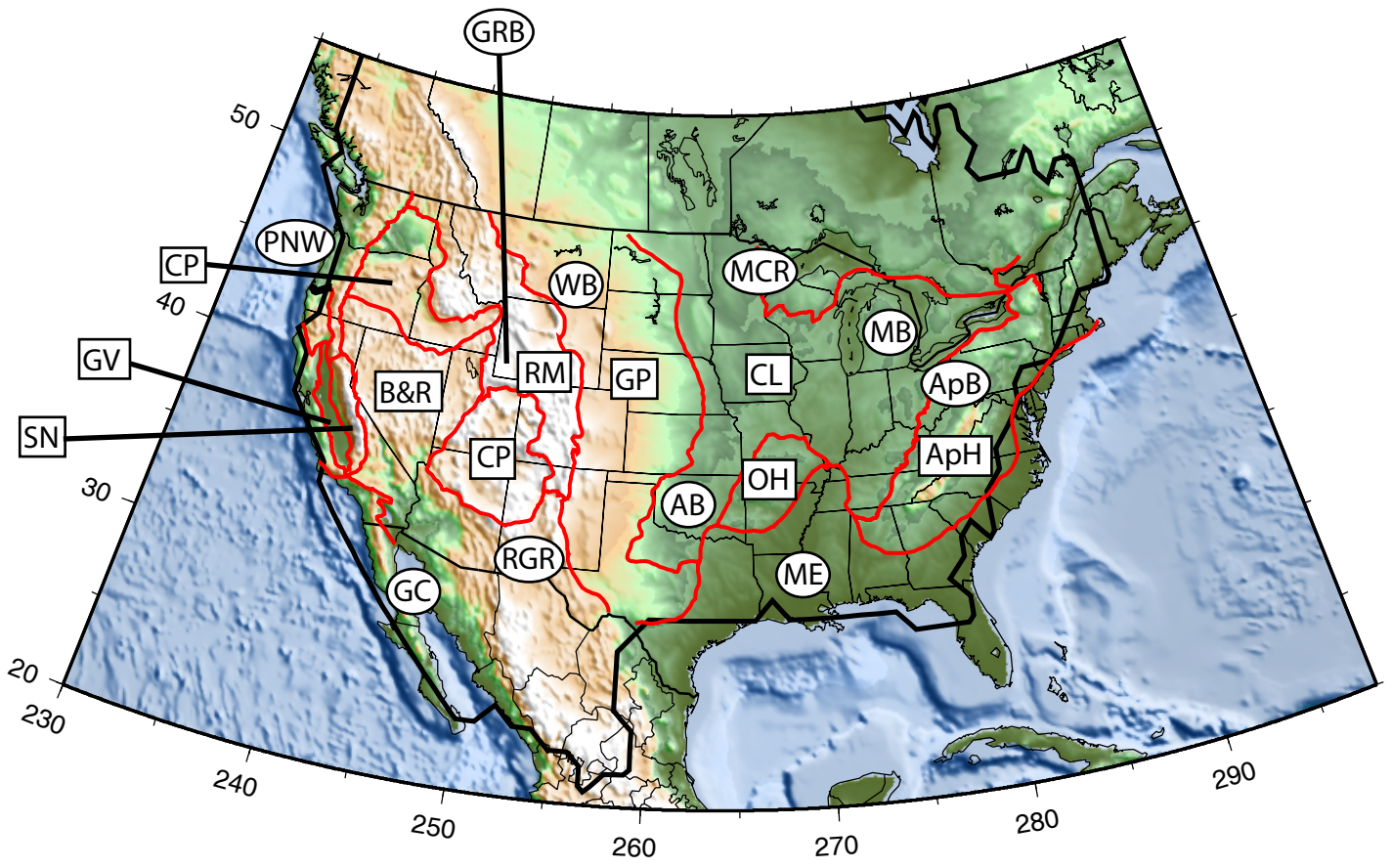
Figure 17. The location and uncertainty in the east-west shear velocity dichotomy for the lower crust (a) and the uppermost mantle (b). Contours of velocity are plotted for the 20th (grey) and 80th (black) percentile models at 3.75 km/s for the lower crust and 4.55 at 80 km in the mantle taken from the ensemble of accepted models determined by Monte Carlo inversion. The red contour marks the approximate location of the Rocky Mountain Front.

Figure 18. A comparison of the “favored model” from the Monte Carlo inversion with the global V_s model of *Shapiro and Ritzwoller* [2002], shown here in (a) at 40°N (Profile B-B’ in Figure 13a), where the velocity scales from Figure 13 are used. (b) The model of *Shapiro and Ritzwoller* [2002] minus and our favored model. Reds indicated that the global model is fast and blues that it is slow relative to our model. The Moho contour from (a) is overplotted in (b).

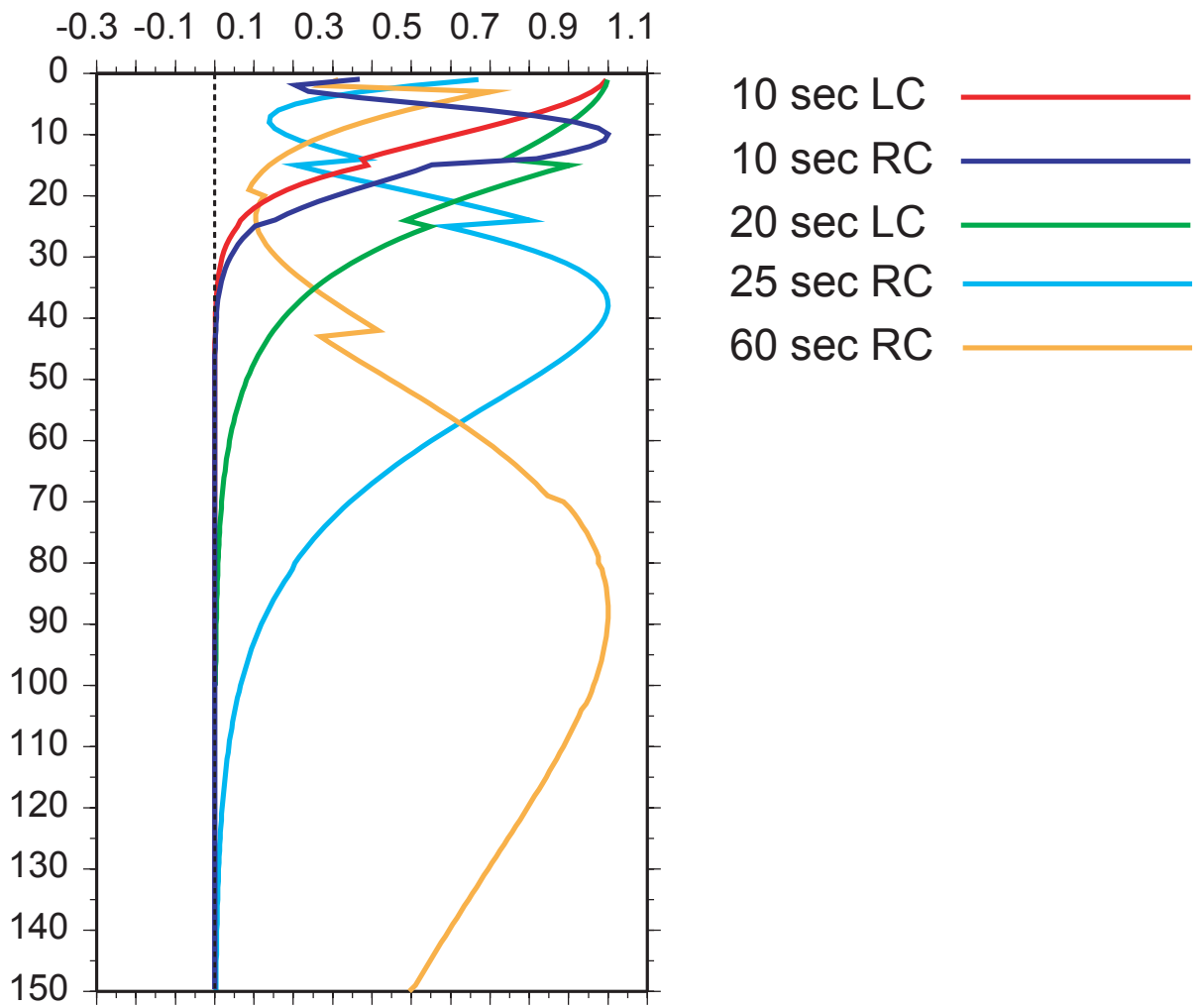
Figure 19. An example of the improvement in fit afforded by allowing radial anisotropy or breaking the monotonicity constraint (allowing a low velocity zone, LVZ) in the crust. The dispersion curves for the monotonic isotropic, radial anisotropic, and LVZ model are labeled in (a) and the corresponding models are shown in (b). Radial anisotropy is allowed only in the middle crust.

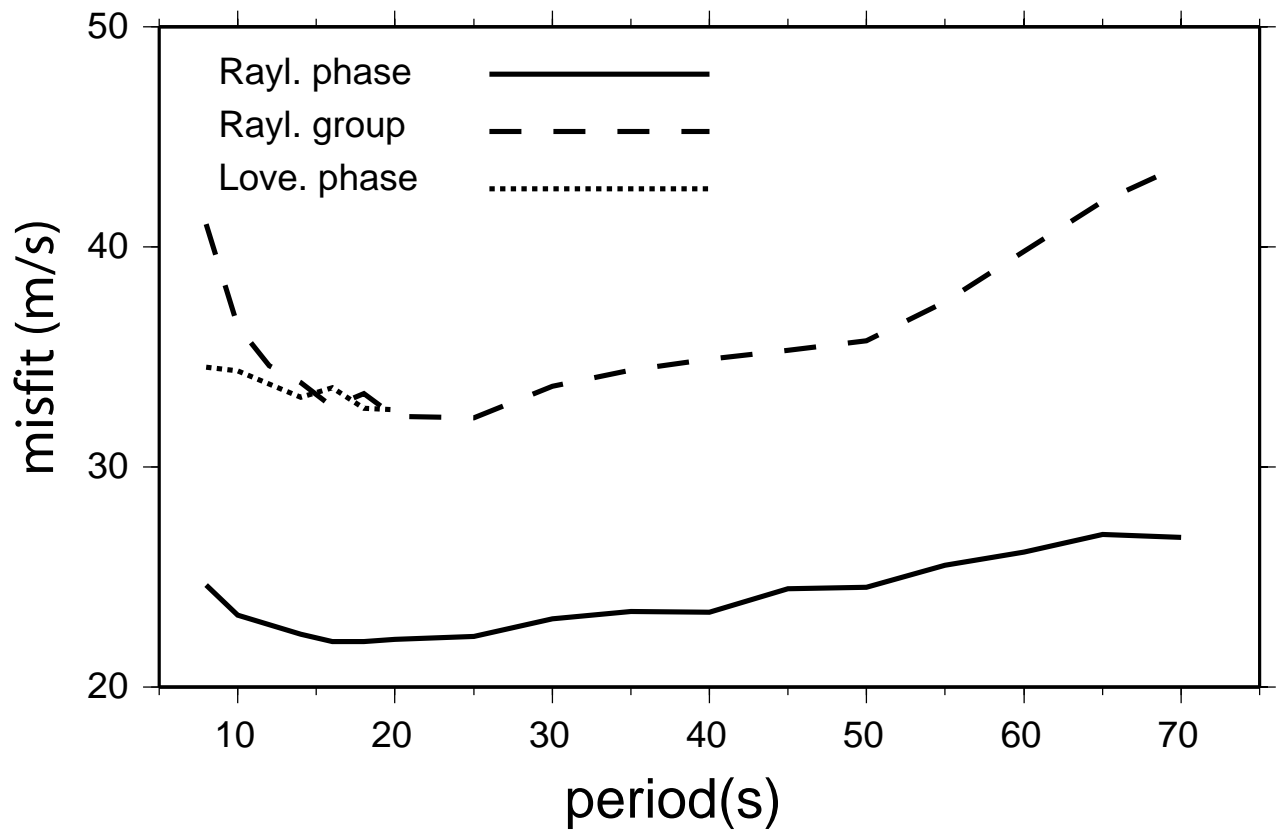
Figure 20. (a) The best fitting middle crustal radial anisotropy model for the US where, for example, a value of 5% signifies $V_{sh}/V_{sv} = 1.05$. (b) The least anisotropic model from the ensemble of acceptable models that emerge from the Monte-Carlo inversion.

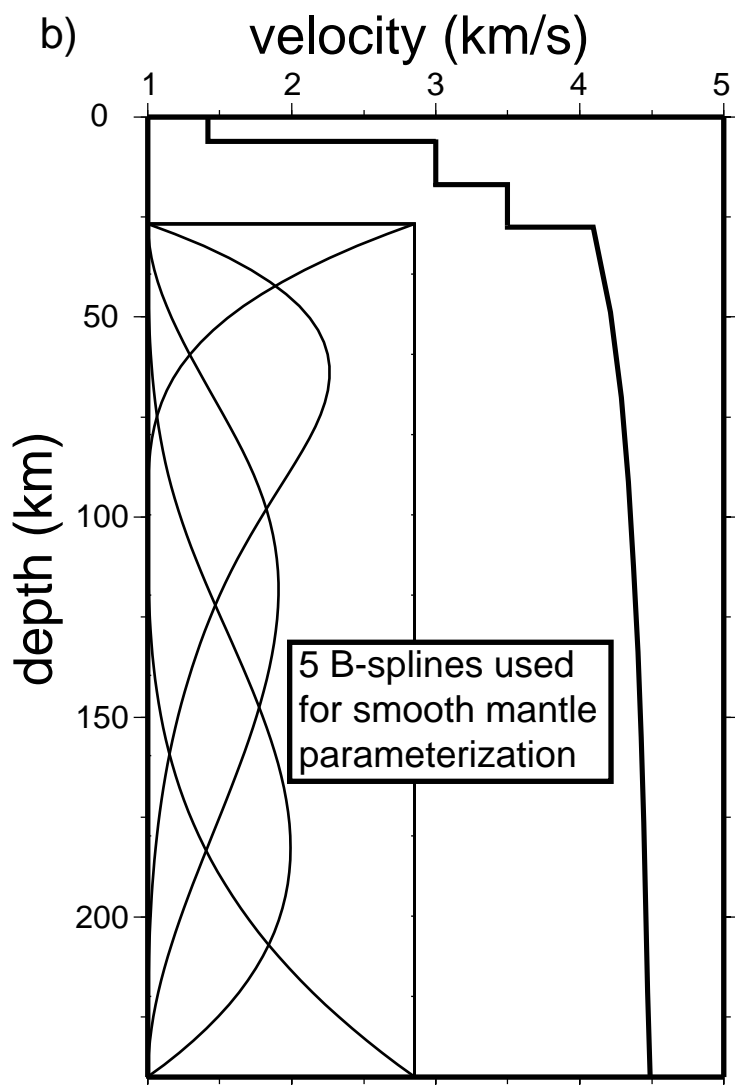
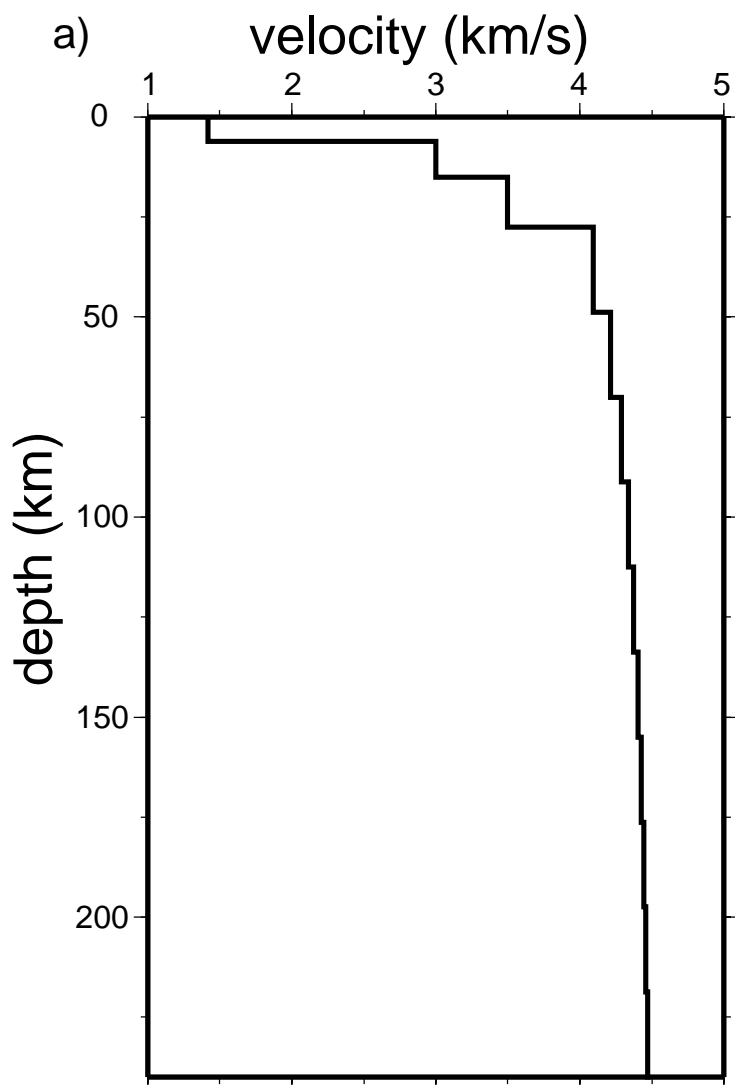


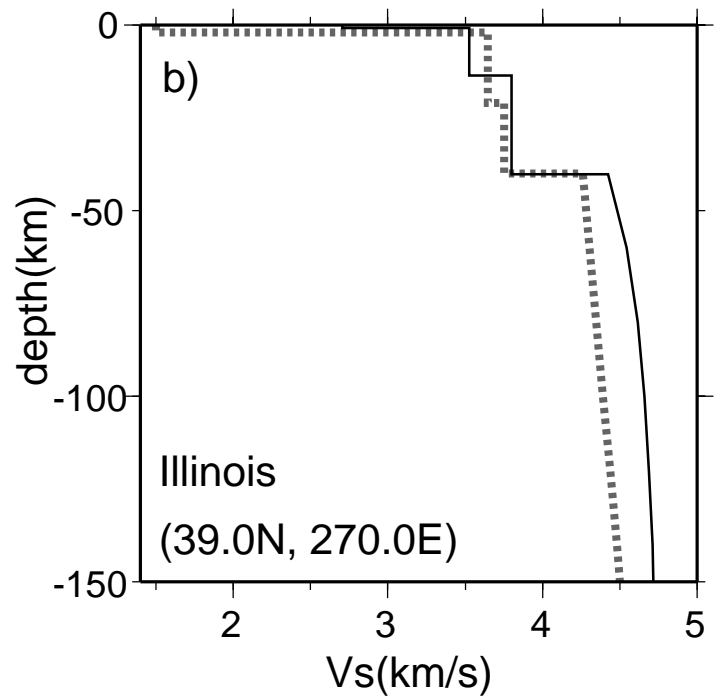
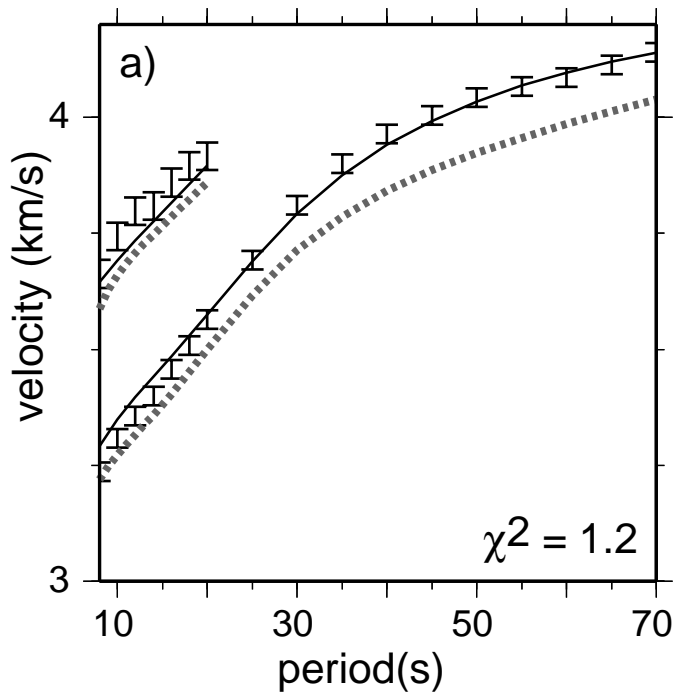


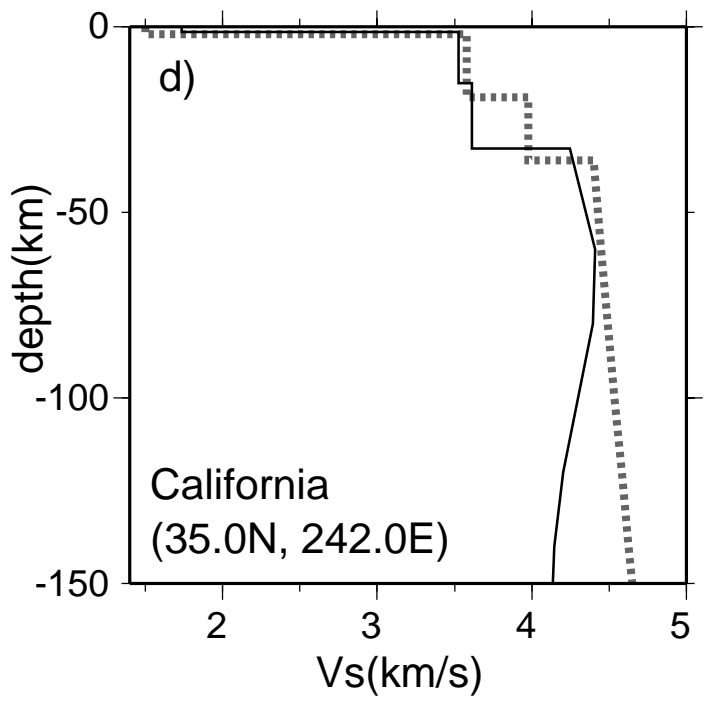
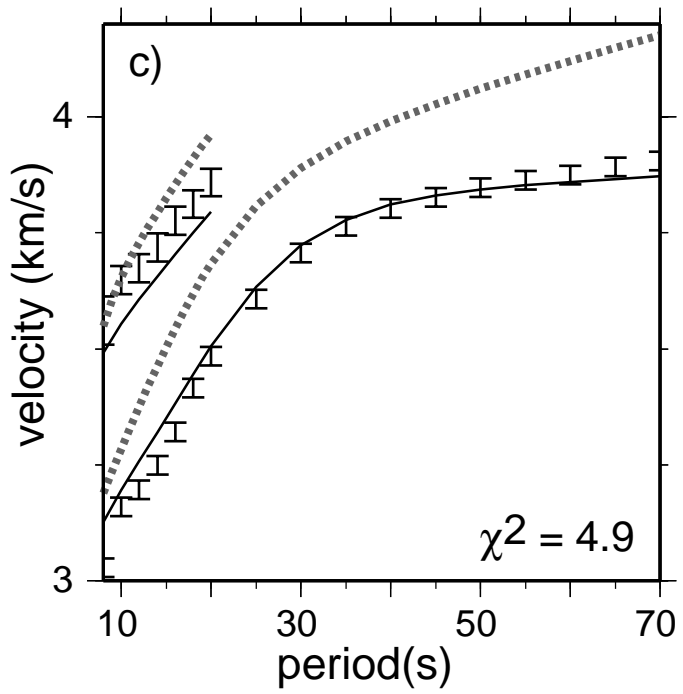
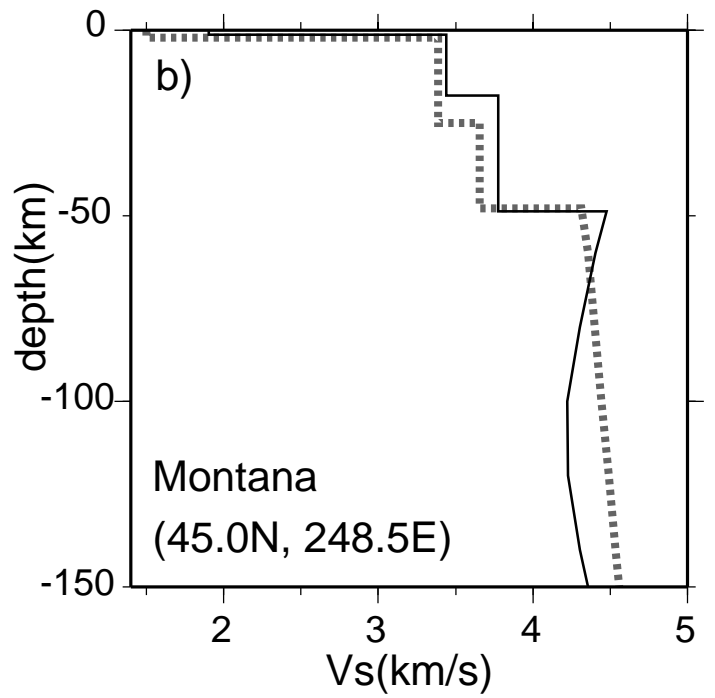
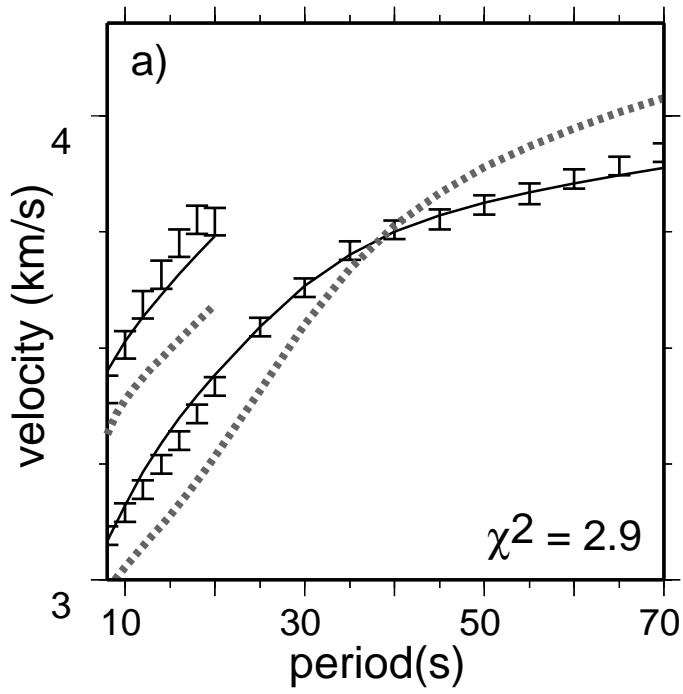
Vs sensitivity

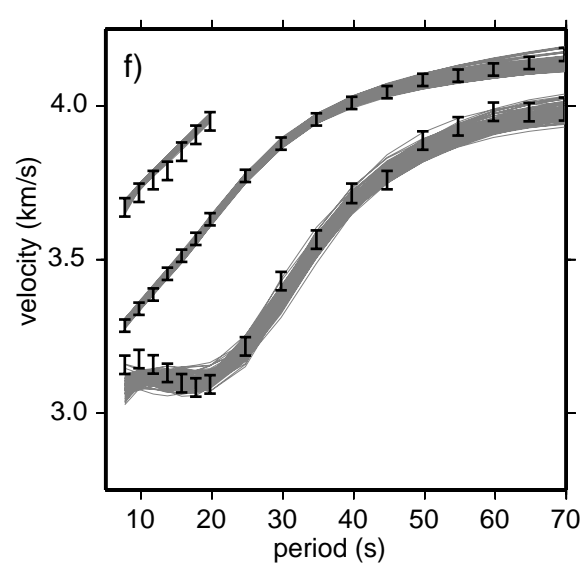
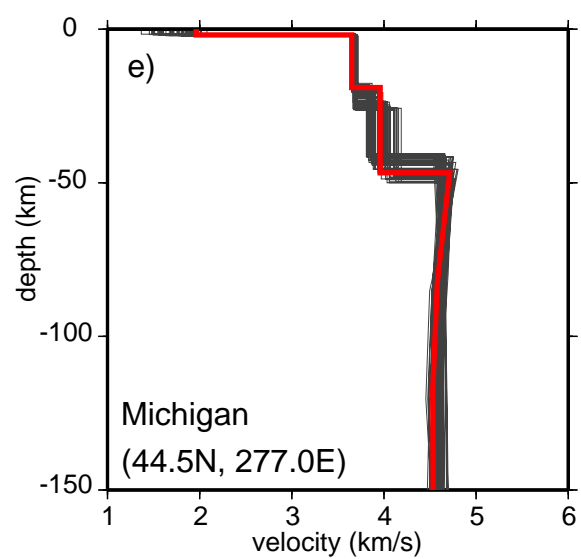
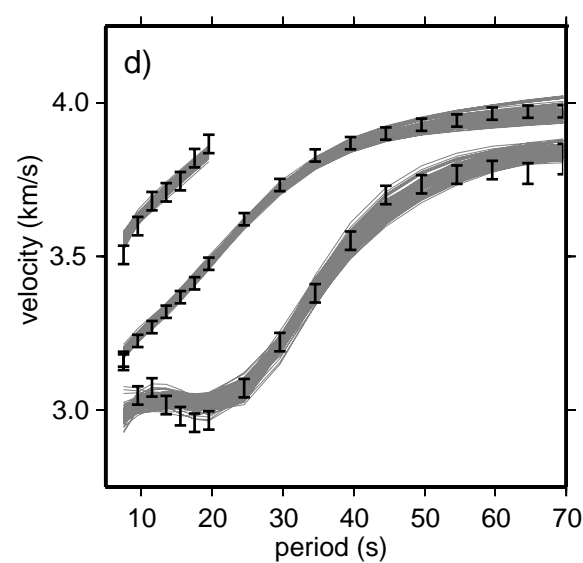
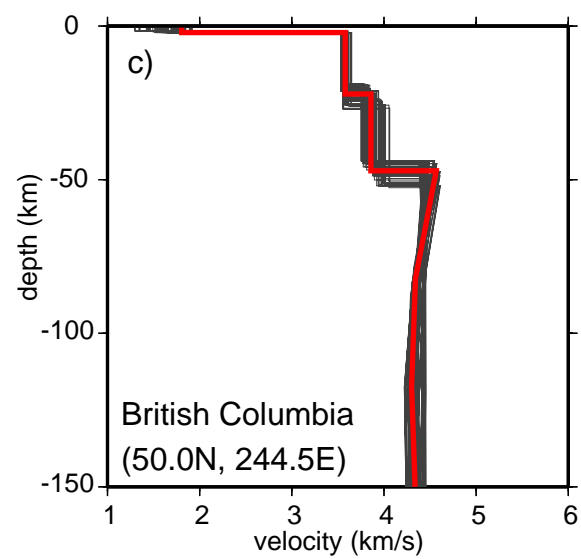
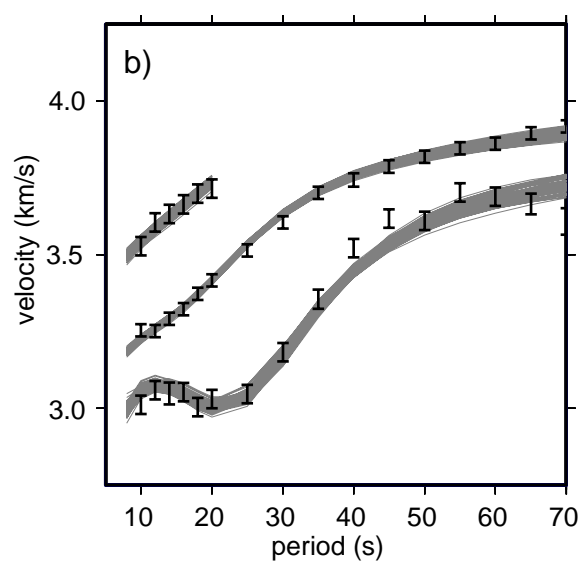
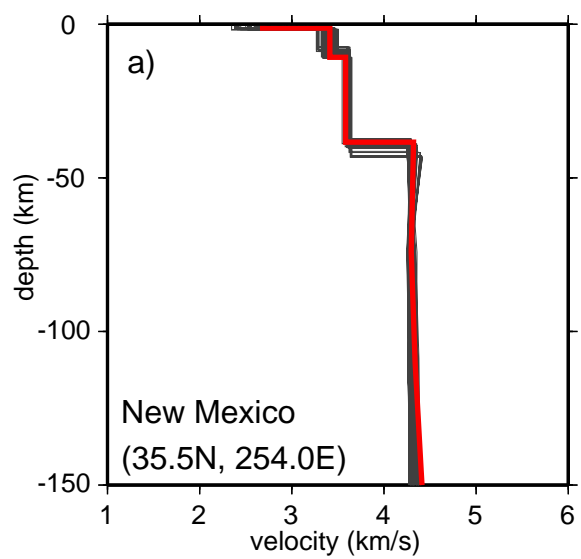




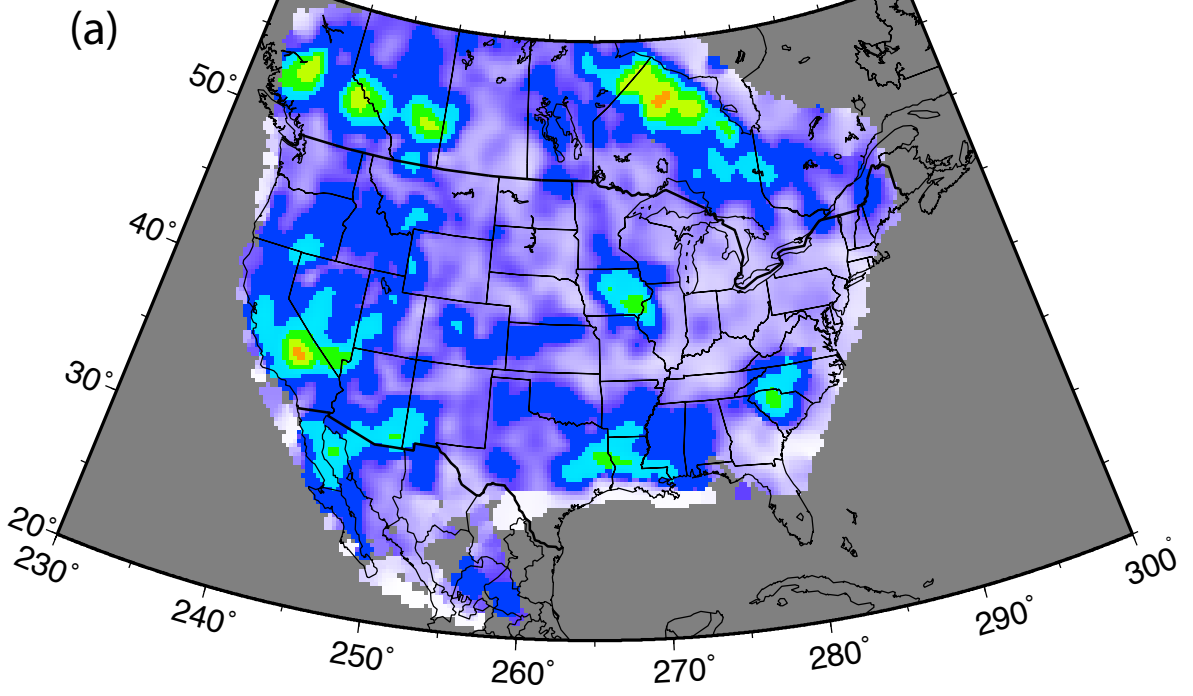




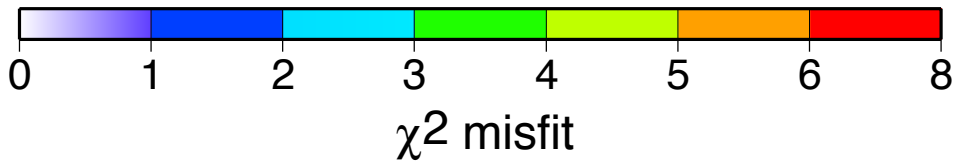
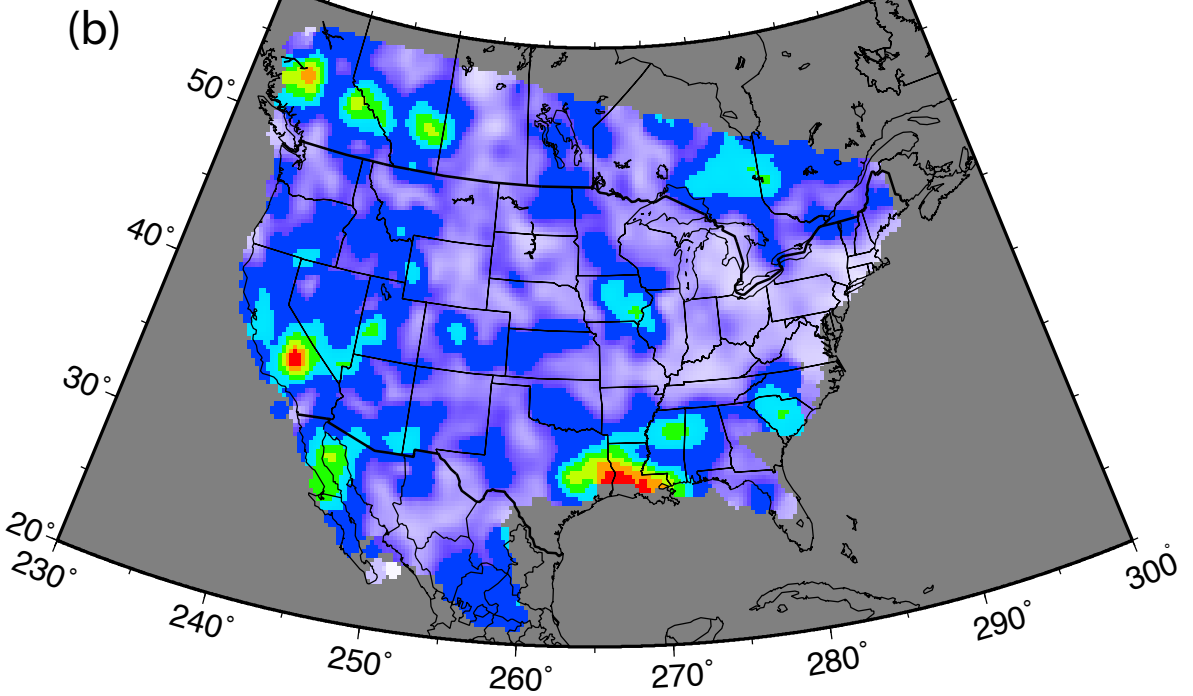




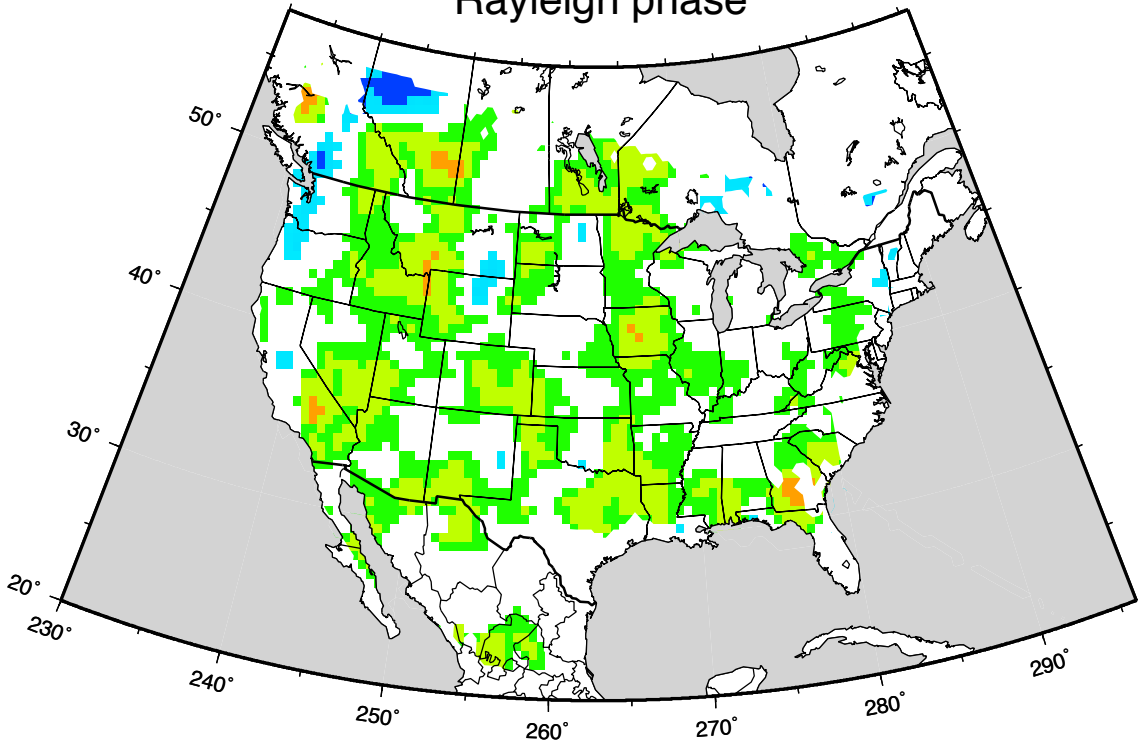
Rayleigh phase χ^2 misfit



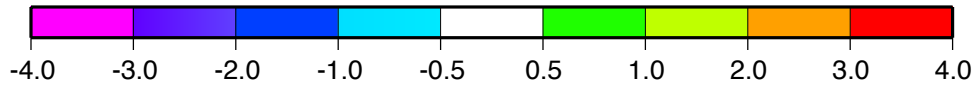
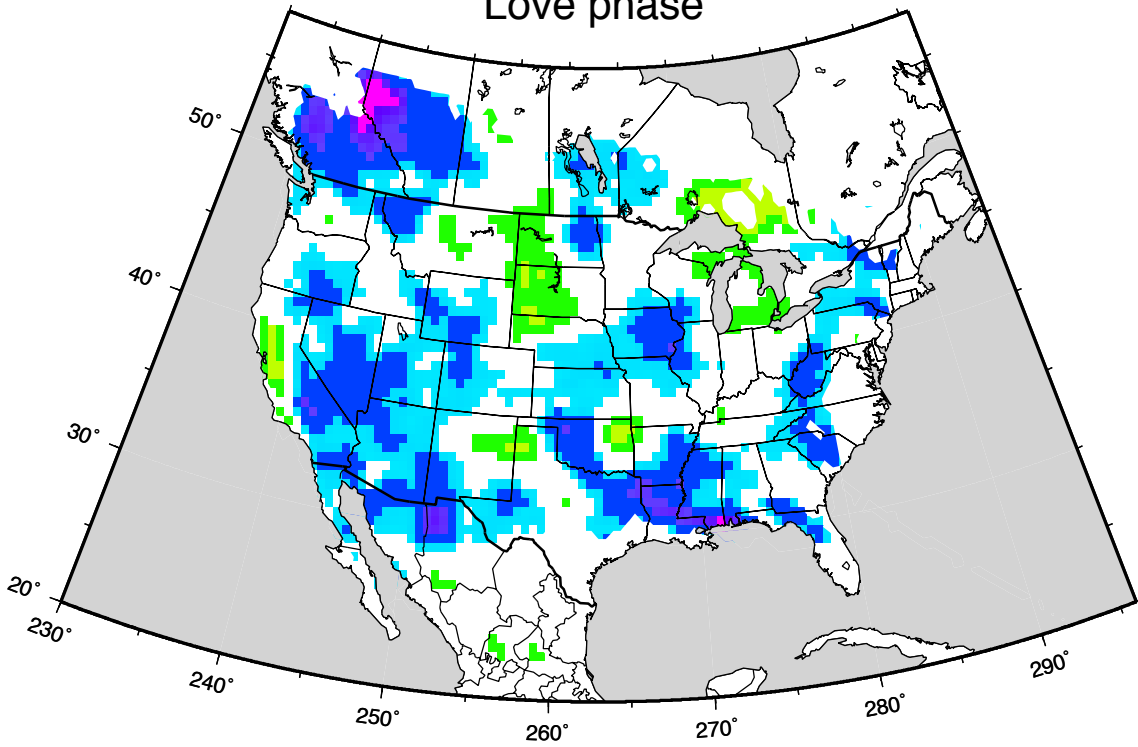
Love phase χ^2 misfit



Rayleigh phase



Love phase



misfit: (pred-data)/(data error)

